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## **A Verified Design of a Fault-Tolerant Clock Synchronization Circuit: Preliminary Investigations**

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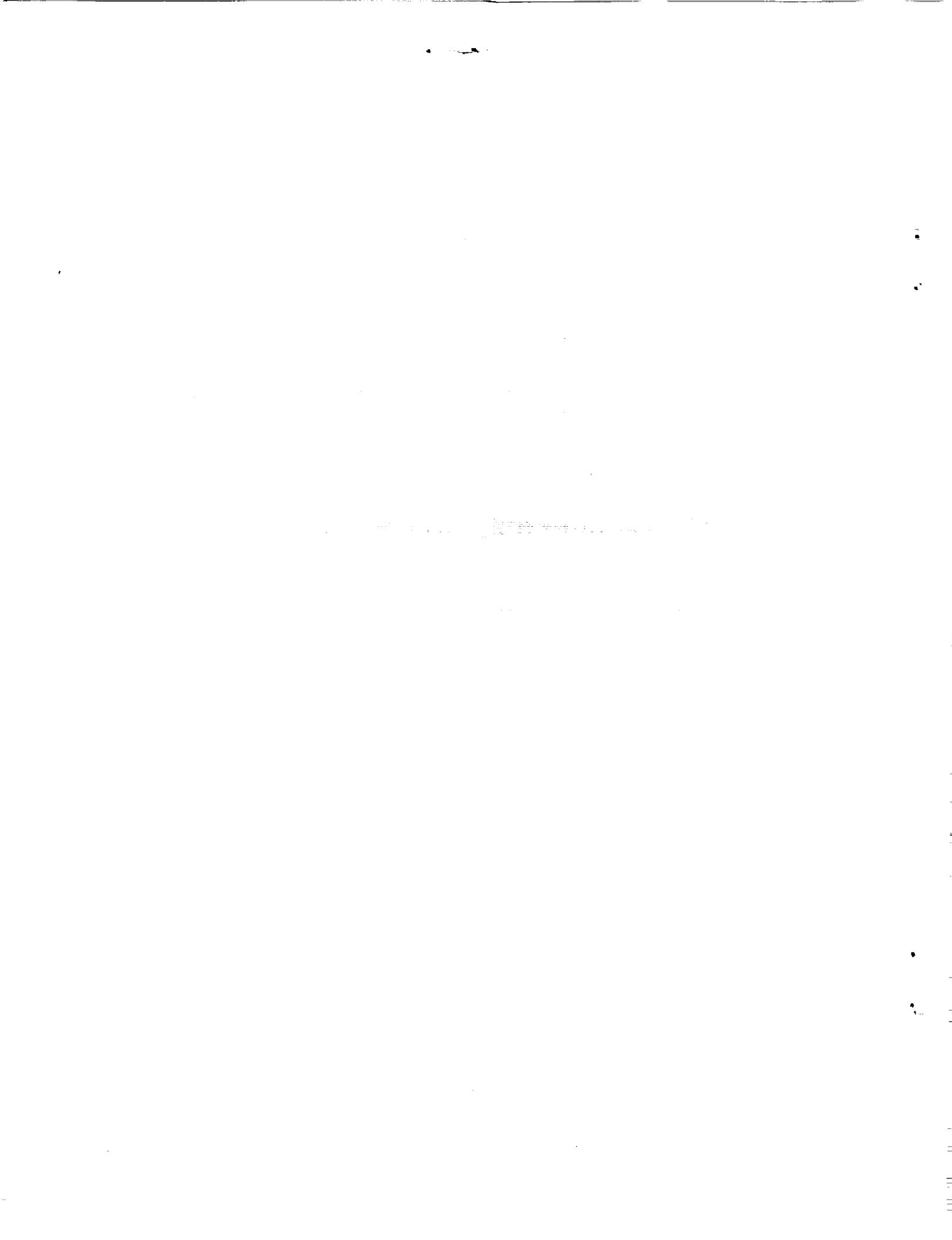
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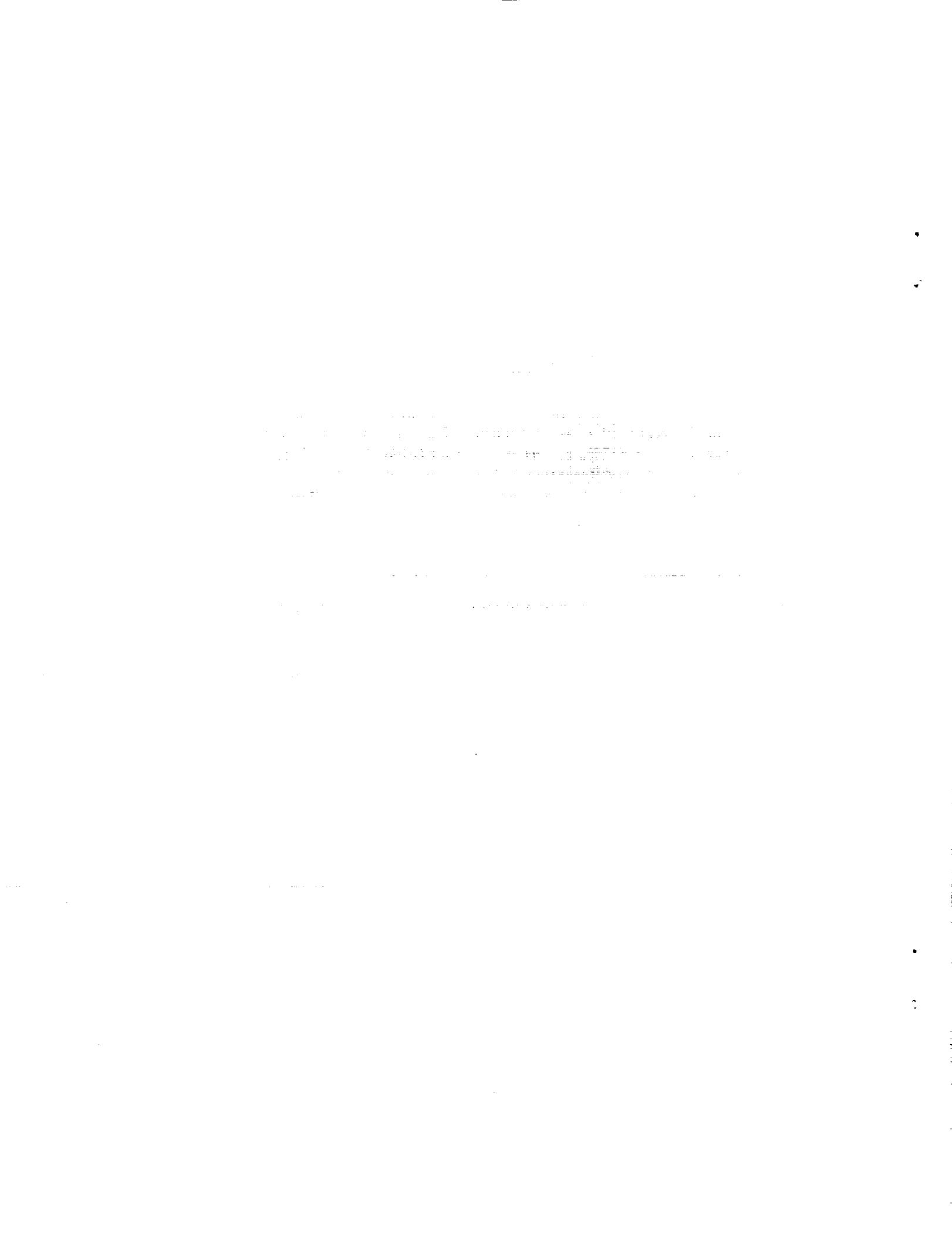
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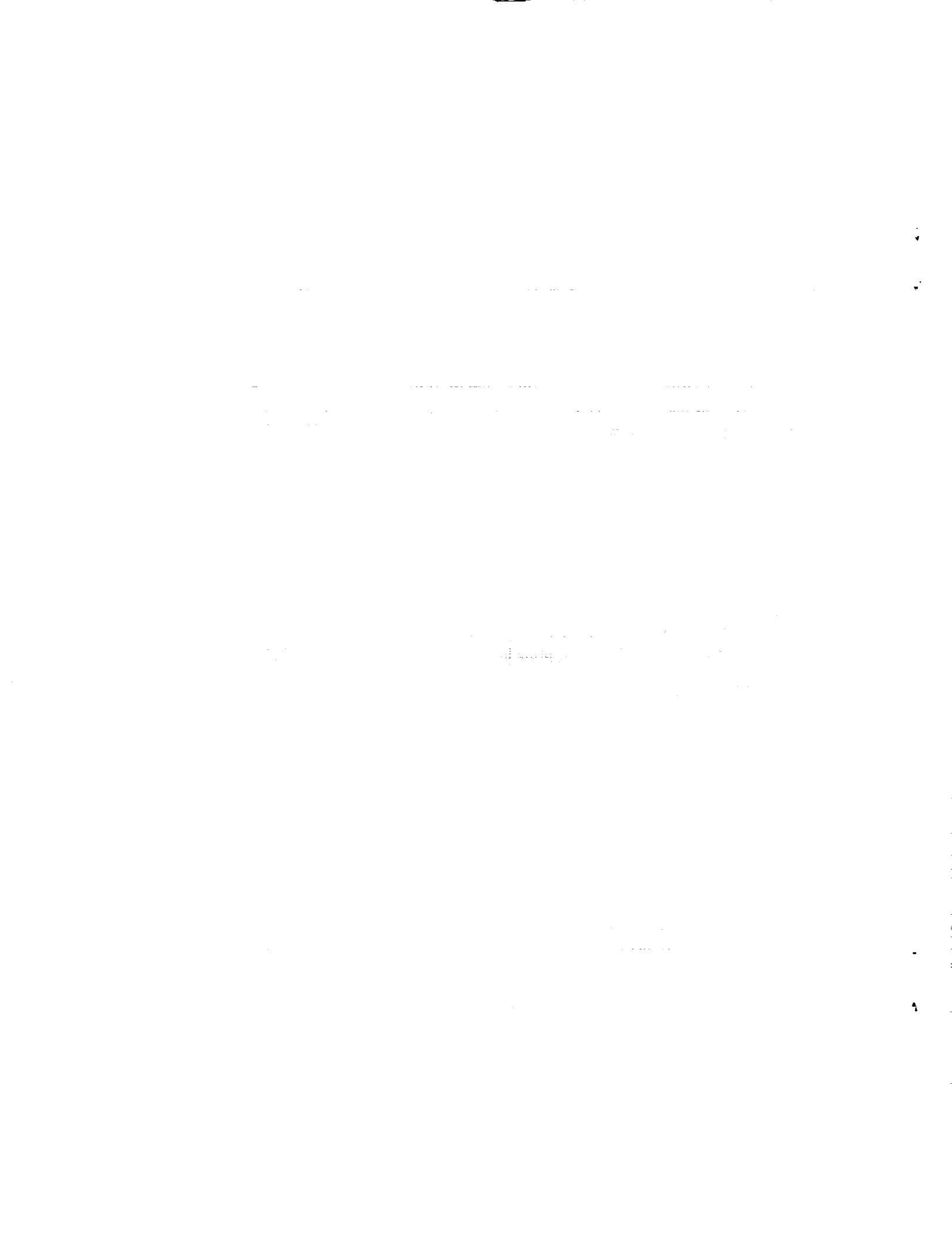
## Abstract

Schneider [1] demonstrates that many fault-tolerant clock synchronization algorithms can be represented as refinements of a single proven correct paradigm. Shankar [2] provides a mechanical proof (using EHDM [3]) that Schneider's schema achieves Byzantine fault-tolerant clock synchronization provided that eleven constraints are satisfied. Some of the constraints are assumptions about physical properties of the system and can not be established formally. Proofs are given (in EHDM) that the fault-tolerant midpoint convergence function satisfies three of these constraints. This paper presents a hardware design, implementing the fault-tolerant midpoint function, which will be shown to satisfy the remaining constraints. The synchronization circuit will recover completely from transient faults provided the maximum fault assumption is not violated. The initialization protocol for the circuit also provides a recovery mechanism from total system failure caused by correlated transient faults.



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## 1 Introduction

NASA Langley Research Center is currently involved in the development of a formally verified Reliable Computing Platform (RCP) for real-time digital flight control systems [4, 5, 6]. An often quoted requirement for critical systems employed for civil air transport is a probability of catastrophic failure less than  $10^{-9}$  for a 10 hour flight [7]. Since failure rates for digital devices are on the order of  $10^{-6}$  per hour [8], hardware redundancy is required to achieve the desired level of reliability. While there are many ways of incorporating redundant hardware, the approach taken in the RCP is the use of identical redundant channels with exact match voting (see [4, 5] and [6]).

A critical function in a fault-tolerant system is that of synchronizing the clocks of the redundant computing elements. The clocks must be synchronized in order to provide coordinated action among the redundant sites. Although perfect synchronization is not possible, clocks can be synchronized within a small skew. The purpose of this work is to provide a mechanically verified design of a fault-tolerant clock synchronization circuit.

The fault-tolerant clock synchronization circuit is intended to be part of a verified hardware base for the RCP. The primary intent of the RCP is to provide a verified fault-tolerant system which is proven to recover from a bounded number of transient faults. The current model of the system assumes (among other things) that the clocks are synchronized within a bounded skew [5]. It is crucial that the clock synchronization circuitry also be able to recover from transient faults. Originally, Lamport and Melliar-Smith's Interactive Convergence Algorithm (ICA) [9] was to be the basis for the clock synchronization hardware, the primary reason being the existence of a mechanical proof that the algorithm is correct [10]. However, modifications to ICA to achieve transient fault recovery are unnecessarily complicated. The fault-tolerant midpoint algorithm of [11] is more readily adapted to transient recovery.

The synchronization circuit is designed to tolerate arbitrarily malicious permanent, intermittent and transient hardware faults. A fault is defined as a physical perturbation altering the function implemented by a physical device. Intermittent faults are permanent physical faults which do not constantly alter the function of a device (e.g. a loose wire). A transient fault is a one shot short duration physical perturbation of a device (e.g. caused by a cosmic ray or other electromagnetic effect). Once the source of the fault is removed, the device can function correctly.

Most proofs of fault-tolerant clock synchronization algorithms are by

induction on the number of synchronization intervals. Usually, the base case of the induction, the initial skew, is assumed. The descriptions in [1, 2, 9, 10] all assume initial synchronization with no mention of how it is achieved. Others, including [11, 12, 13] and [14] address the issue of initial synchronization and give descriptions of how it is achieved in varying degrees of detail. In proving an implementation correct, the details of initial synchronization cannot be ignored. If the initialization scheme is robust enough, it can also serve as a recovery mechanism from multiple correlated transient failures (as is noted in [14]).

Schneider [1] demonstrates that many fault-tolerant clock synchronization algorithms can be represented as refinements of a single proven correct paradigm. Shankar [2] provides a mechanical proof (using EHDM [3]) that Schneider's schema achieves Byzantine fault-tolerant clock synchronization, provided that eleven constraints are satisfied. Some of the constraints are assumptions about physical properties of the system and can not be established formally. This paper proposes a hardware solution to the clock synchronization problem which will be shown to satisfy the remaining constraints.

This paper discusses preliminary results in the verification of the design. The fault-tolerant midpoint function is formally proven (in EHDM) to satisfy the properties of translation invariance, precision enhancement, and accuracy preservation.<sup>1</sup> A register transfer level design is presented which implements the synchronization algorithm. An argument for transient recovery from a single fault is presented and issues relating to the more general case are raised. Finally, the approach for achieving initial synchronization is discussed. The notation used here is from Shankar [2].

## 2 Description of the Reliable Computing Platform

This section summarizes the key details of the Reliable Computing Platform to establish a context for the clock synchronization circuit. It is included here for completeness. The material in this section is paraphrased from Butler and DiVito [5]. The interested reader should consult [5] for more detailed information.

<sup>1</sup>These properties will be defined in the section describing the fault-tolerant midpoint convergence function.

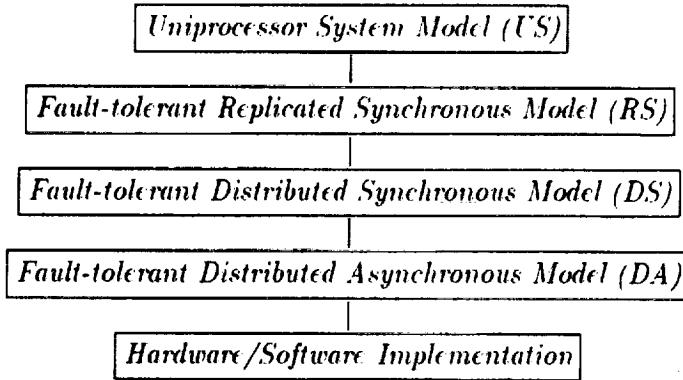


Figure 1: Hierarchical Specification of the Reliable Computing Platform.

NASA-Langley is currently involved in the development of a formally verified Reliable Computing Platform for real-time control [4, 5]. A primary goal is to provide a fault-tolerant computing base that appears to the application programmer as a single ultra-reliable computer. To achieve this, it is necessary to conceal implementation details of the system. Some characteristics of the system are as follows [5]:

- “the system is non-reconfigurable
- the system is frame-synchronous
- the scheduling is static, non-preemptive
- internal voting is used to recover the state of a processor affected by a transient fault”

A hierarchy of models is introduced which provides different levels of abstraction (figure 1, taken from [5]). The top level is the view presented to the applications programmer, i.e. an ultra-reliable uniprocessor system. The details of fault-tolerance are introduced in the lower levels. The next two levels, replicated synchronous and distributed synchronous, introduce the redundancy and voting required for fault-tolerance, but assume perfectly synchronized clocks and an interactive consistency network for reliable distribution of single source data. The fourth level, distributed asynchronous, weakens the assumption of perfect synchrony to one where the clocks are synchronized to within a bounded skew. The details of the hardware/software implementation have yet to be worked out. An abstract view of the assumed

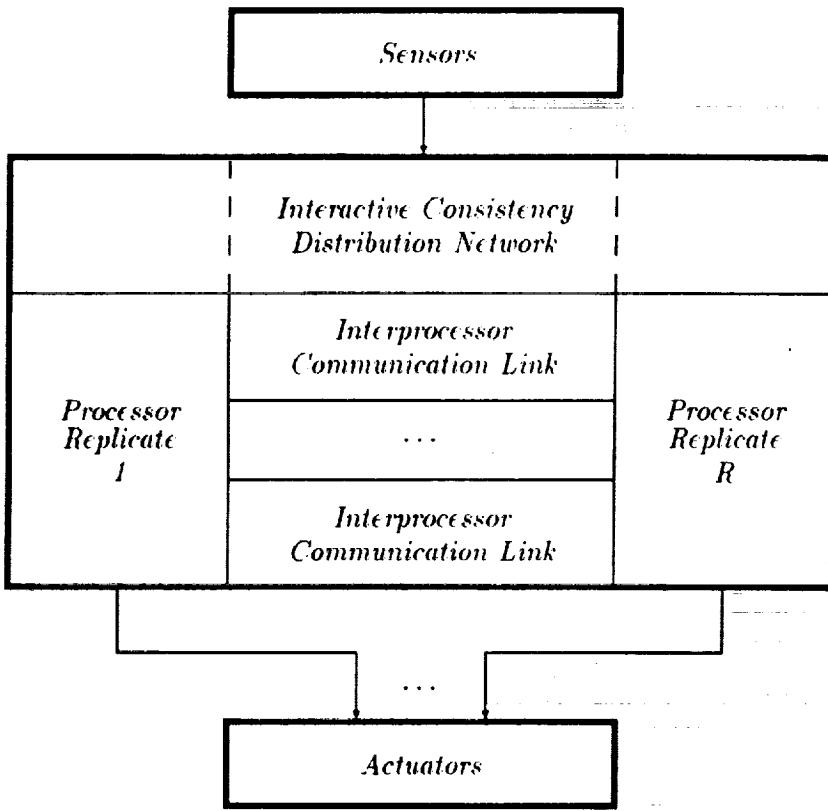


Figure 2: Generic Hardware Architecture

hardware architecture is given in figure 2 (from [5]). The clock synchronization circuit presented here is intended to serve as part of the verified hardware base at the lowest level of the hierarchy.

### 3 Clock Definitions

This section introduces the notation and assumptions used in Shankar's proof and is largely taken from sections 2.1 and 2.2 of [2]. The conditions enumerated here provide the formal specification for the clock synchronization circuit.

$PC_p(t)$	The reading of $p$ 's physical clock at real time $t$ .
$VC_p(t)$	The reading of $p$ 's virtual clock at time $t$ . This is the logical time used by the system.
$IC_p^i(t)$	The reading of $p$ 's $i$ th interval clock at real time $t$ (Only sensible if $t_p^i \leq t$ ).
$t_p^i$	The real time that processor $p$ begins the $i$ th synchronization interval.
$adj_p^i$	Cumulative adjustment to $p$ 's physical clock up to and including $t_p^i$ .
$\Theta_p^i$	An array of clock readings (local to $p$ ) such that (for $i > 0$ ) $\Theta_p^i(q)$ is $p$ 's reading of $q$ 's clock at $t_p^i$ .
$cfn(p, \Theta_p^i)$	Convergence function executed by $p$ to establish correct $VC_p(t_p^i)$ .

Table 1: Clock Notation

### 3.1 Shankar's Notation

In general, clocks will be represented by different abstractions. Each redundant clock will incorporate a physical oscillator which marks passage of time. Each oscillator will drift with respect to real time by a small amount. Physical clocks derived from these oscillators will similarly drift with respect to each other. The purpose of a clock synchronization algorithm is to make periodic adjustments to local (virtual) clocks to keep redundant clocks within a bounded skew of each other. This periodic adjustment makes analysis difficult, so an interval clock abstraction is used in the proofs. This interval clock is indexed by the number of elapsed intervals since the beginning of the protocol. An interval corresponds to the elapsed time between adjustments to the virtual clock. The proof that synchronization is maintained is by induction on intervals.

Table 1 introduces the notation for the key elements required for a verified clock synchronization algorithm. Shankar outlines the following set of relationships between these values,

$$\begin{aligned}
 adj_p^{i+1} &= cfn(p, \Theta_p^{i+1}) - PC_p(t_p^{i+1}) \\
 adj_p^0 &= 0 \\
 IC_p^i(t) &= PC_p(t) + adj_p^i
 \end{aligned}$$

$$VC_p(t) = IC_p^i(t), \text{ for } t_p^i \leq t < t_p^{i+1}$$

presuming the presence of  $PC$  and  $VC$ , with an abstraction for  $IC$  used in the proofs. The following can be simply derived.

$$\begin{aligned} VC_p(t_p^{i+1}) &= IC_p^{i+1}(t_p^{i+1}) = cf_n(p, \Theta_p^{i+1}) \\ IC_p^{i+1}(t) &= cf_n(p, \Theta_p^{i+1}) + PC_p(t) - PC_p(t_p^{i+1}) \end{aligned}$$

Using these equations and the eleven conditions outlined in the next section, Shankar mechanically verified Schneider's paradigm. Some of the conditions will need to be modified in order to reason about transient recovery. It will then be necessary to rerun the EHDM proofs of the main theorem of [2] (below).

Any implementation which satisfies the constraints in Shankar's report will provide the following guarantee.

**Theorem 1 (bounded skew)** *For any two clocks  $p$  and  $q$  that are non-faulty at time  $t$ ,*

$$|VC_p(t) - VC_q(t)| \leq \delta$$

That is, the difference in time observed by two non-faulty clocks is bounded by a small amount. This gives the leverage needed to reliably build a fault-tolerant system. The next section enumerates the conditions to be met to guarantee this result.

### 3.2 Shankar's Conditions

The first condition is initial skew,  $\delta_S$ , which is a bound on the difference between good clocks at the beginning of the protocol.

**Condition 1 (initial skew)** *For nonfaulty processors  $p$  and  $q$*

$$|PC_p(0) - PC_q(0)| \leq \delta_S$$

The rate at which a good clock can drift from real-time is bounded by a small constant  $\rho$ .<sup>2</sup>

<sup>2</sup>Notice that in this formulation a good clock must have been good continually since time 0. This condition will need to be modified in order to reason about recovery from transient faults.

**Condition 2 (bounded drift)** *There is a nonnegative constant  $\rho$  such that if clock  $p$  is nonfaulty at time  $s$ ,  $s \geq t$ , then*

$$(1 - \rho)(s - t) \leq PC_p(s) - PC_p(t) \leq (1 + \rho)(s - t)$$

Shankar notes the following corollary to *bounded drift* which limits the amount two good clocks can drift with respect to each other during interval from  $t$  to  $s$ .

$$|PC_p(s) - PC_q(s)| \leq |PC_p(t) - PC_q(t)| + 2\rho(s - t)$$

The next four conditions describe some constraints upon the synchronization interval as related to initial conditions of the protocol.

**Condition 3 (bounded interval)** *For nonfaulty clock  $p$*

$$0 < r_{min} \leq t_p^{i+1} - t_p^i \leq r_{max}$$

**Condition 4 (bounded delay)** *For nonfaulty clocks  $p$  and  $q$*

$$|t_q^i - t_p^i| \leq \beta$$

**Condition 5 (initial synchronization)** *For nonfaulty clock  $p$*

$$t_p^0 = 0$$

Since we do not want process  $q$  to start its  $(i+1)$ th clock before process  $p$  starts its  $i$ th we state a nonoverlap condition

**Condition 6 (nonoverlap)**

$$\beta \leq r_{min}$$

This, with *bounded interval* and *bounded delay*, ensures that for good clocks  $p$  and  $q$ ,  $t_p^i \leq t_q^{i+1}$ .

All clock synchronization protocols require each process to obtain an estimate of the clock values for other processes within the system. Error in this estimate can be bounded, but not eliminated.

**Condition 7 (reading error)** For nonfaulty clocks  $p$  and  $q$

$$|IC_q^i(t_p^{i+1}) - \Theta_p^{i+1}(q)| \leq \Lambda$$

There is bound to the number of faults which can be tolerated<sup>3</sup>

**Condition 8 (bounded faults)** At any time  $t$ , the number of faulty processes is at most  $F$ .

For the purpose of the algorithm presented here, we will assume that the number of clocks,  $N$ , satisfies the inequality  $N \geq 3F + 1$ .

Synchronization algorithms execute a convergence function  $cfn(p, \theta)$  which must satisfy the conditions of *translation invariance*, *precision enhancement*, and *accuracy preservation* irrespective of the physical constraints on the system. Shankar mechanically proves that Lamport and Melliar-Smith's Interactive Convergence function [9] satisfies these three conditions. The next section defines these conditions in the context of the fault-tolerant midpoint function used by Welch and Lynch [11].

#### 4 Fault-Tolerant Midpoint as an Instance of Schneider's Schema

The convergence function for the implementation described here is the fault-tolerant midpoint used by Welch and Lynch in [11]. The function consists of discarding the  $F$  largest and  $F$  smallest clock readings, and then determining the midpoint of the range of the remaining readings. Its formal definition is

$$cfn_{MID}(p, \theta) = \frac{\theta_{(F+1)} + \theta_{(N-F)}}{2}$$

where  $\theta_{(m)}$  returns the  $m$ th largest element in  $\theta$ . This formulation of the convergence function is different from that used in [11]. A proof of equality between the two formulations is not needed since it is shown that this formulation satisfies the properties required by Schneider's paradigm.

<sup>3</sup>This condition will need to be changed to "the number of processes not working ...", where working will be a predicate analogous to the one used in [4, 5]. This is necessary for reasoning about recovery from transient failures.

This section presents informal proofs that  $cfn_{MID}(p, \theta)$  satisfies the desired properties. The EHDM proofs are presented in the appendix and assume that there is a deterministic sorting algorithm which arranges the array of clock readings. This assumption will need to be discharged when the implementation is verified.

The properties presented in this section are applicable for any clock synchronization protocol which employs the fault-tolerant midpoint convergence function. All that will be required for a verified implementation is a proof that the function is correctly implemented and proofs that the other conditions have been satisfied.

#### 4.1 Translation Invariance

*Translation invariance* states that the value obtained by adding  $x$  to the result of the convergence function should be the same as adding  $x$  to each of the clock readings used in evaluating the convergence function.

**Condition 9 (translation invariance)** *For any function  $\theta$  mapping clocks to clock values,*

$$cfn(p, (\lambda n : \theta(n) + x)) = cfn(p, \theta) + x$$

*Translation invariance* is evident by noticing that for all  $m$ :

$$(\lambda l : \theta(l) + x)_{(m)} = \theta_{(m)} + x$$

and

$$\frac{(\theta_{(F+1)} + x) + (\theta_{(N-F)} + x)}{2} = \frac{\theta_{(F+1)} + \theta_{(N-F)}}{2} + x$$

#### 4.2 Precision Enhancement

*Precision enhancement* is a formalization of the concept that, after executing the convergence function, the values of interest should be closer together.

**Condition 10 (precision enhancement)** Given any subset  $C$  of the  $N$  clocks with  $|C| \geq N - F$ , and clocks  $p$  and  $q$  in  $C$ , then for any readings  $\gamma$  and  $\theta$  satisfying the conditions

1. for any  $l$  in  $C$ ,  $|\gamma(l) - \theta(l)| \leq x$
2. for any  $l, m$  in  $C$ ,  $|\gamma(l) - \gamma(m)| \leq y$
3. for any  $l, m$  in  $C$ ,  $|\theta(l) - \theta(m)| \leq y$

there is a bound  $\pi(x, y)$  such that

$$|cfn(p, \gamma) - cfn(q, \theta)| \leq \pi(x, y)$$

**Theorem 2** Precision Enhancement is satisfied for  $cfn_{MID}(p, \vartheta)$  if

$$\pi(x, y) = \frac{y}{2} + x$$

One characteristic of  $cfn_{MID}(p, \vartheta)$  is that it is possible for it to use readings from faulty clocks. If this occurs, we know that such readings are bounded by readings from good clocks. The next few lemmas establish this fact. To prove these lemmas it was necessary to develop a pigeon hole principle.

**Lemma 1 (Pigeon Hole Principle)** If  $N$  is the number of clocks in the system, and  $C_1$  and  $C_2$  are subsets of these  $N$  clocks,

$$|C_1| + |C_2| \geq N + k \supset |C_1 \cap C_2| \geq k$$

This principle greatly simplifies the existence proofs required to establish the next two lemmas. First, we establish that the values used in computing the convergence function are bounded by readings from good clocks.

**Lemma 2** Given any subset  $C$  of the  $N$  clocks with  $|C| \geq N - F$  and any reading  $\theta$ , there exists a  $p, q \in C$  such that,

$$\theta(p) \geq \theta_{(F+1)} \text{ (and } \theta_{(N-F)} \geq \theta(q))$$

**Proof:** By definition,  $|\{p : \theta(p) \geq \theta_{(F+1)}\}| \geq F+1$  (similarly,  $|\{q : \theta_{(N-F)} \geq \theta(q)\}| \geq F+1$ ). The conclusion follows immediately from the pigeon hole principle. ■

Now we introduce a lemma that allows us to relate values from two different readings to the same good clock.

**Lemma 3** *Given any subset  $C$  of the  $N$  clocks with  $|C| \geq N - F$  and readings  $\theta$  and  $\gamma$ , there exists a  $p \in C$  such that,*

$$\theta(p) \geq \theta_{(N-F)} \text{ and } \gamma_{(F+1)} \geq \gamma(p).$$

**Proof:** Recalling that  $N \geq 3F + 1$ , we can apply the pigeon hole principle twice. First to establish that  $|\{p : \theta(p) \geq \theta_{(N-F)}\} \cap C| \geq F+1$ , and then to establish the conclusion. ■

A immediate consequence of the preceding lemma is that the readings used in computing  $cfu_{MID}(p, \theta)$  bound a reading from a good clock.

The next lemma introduces a useful fact for bounding the difference between good clock values from different readings.

**Lemma 4** *Given any subset  $C$  of the  $N$  clocks, and clock readings  $\theta$  and  $\gamma$  such that for any  $l$  in  $C$ , the bound  $|\theta(l) - \gamma(l)| \leq x$  holds, for all  $p, q \in C$ .*

$$\theta(p) \geq \theta(q) \wedge \gamma(q) \geq \gamma(p) \Rightarrow |\theta(p) - \gamma(q)| \leq x$$

**Proof:** By cases,

- If  $\theta(p) \geq \gamma(q)$ , then  $|\theta(p) - \gamma(q)| \leq |\theta(p) - \gamma(p)| \leq x$
- If  $\theta(p) \leq \gamma(q)$ , then  $|\theta(p) - \gamma(q)| \leq |\theta(q) - \gamma(q)| \leq x$

This enables us to establish the following lemma.

**Lemma 5** *Given any subset  $C$  of the  $N$  clocks, and clock readings  $\theta$  and  $\gamma$  such that for any  $l$  in  $C$ , the bound  $|\theta(l) - \gamma(l)| \leq x$  holds, there exist  $p, q \in C$  such that,*

$$\begin{aligned} \theta(p) &\geq \theta_{(F+1)}, \\ \gamma(q) &\geq \gamma_{(F+1)}, \text{ and} \\ |\theta(p) - \gamma(q)| &\leq x. \end{aligned}$$

**Proof:** We know from lemma 2 that there are  $p_1, q_1 \in C$  that satisfy the first two conjuncts of the conclusion. There are three cases to consider:

- If  $\gamma(p_1) > \gamma(q_1)$ , let  $p = q = p_1$ .
- If  $\theta(q_1) > \theta(p_1)$ , let  $p = q = q_1$ .
- Otherwise, we have satisfied the hypotheses for lemma 4, so we let  $p = p_1$  and  $q = q_1$ .

■

We are now able to establish precision enhancement for  $cfnMID(p, \theta)$  (Theorem 2).

**Proof:** Without loss of generality, assume  $cfnMID(p, \gamma) \geq cfnMID(q, \theta)$ .

$$\begin{aligned} & |cfnMID(p, \gamma) - cfnMID(q, \theta)| \\ &= \left| \frac{\gamma_{(F+1)} + \gamma_{(N-F)}}{2} - \frac{\theta_{(F+1)} + \theta_{(N-F)}}{2} \right| \\ &= \frac{|\gamma_{(F+1)} + \gamma_{(N-F)} - (\theta_{(F+1)} + \theta_{(N-F)})|}{2} \end{aligned}$$

Thus we need to show that

$$|\gamma_{(F+1)} + \gamma_{(N-F)} - (\theta_{(F+1)} + \theta_{(N-F)})| \leq y + 2x$$

By choosing good clocks  $p, q$  from lemma 5,  $p_1$  from lemma 3, and  $q_1$  from the right conjunct of lemma 2, we establish

$$\begin{aligned} & |\gamma_{(F+1)} + \gamma_{(N-F)} - (\theta_{(F+1)} + \theta_{(N-F)})| \\ &\leq |\gamma(q) + \gamma(p_1) - \theta(p_1) - \theta(q_1)| \\ &= |\gamma(q) + (\theta(p) - \theta(p)) + \gamma(p_1) - \theta(p_1) - \theta(q_1)| \\ &\leq |\theta(p) - \theta(q_1)| + |\gamma(q) - \theta(p)| + |\gamma(p_1) - \theta(p_1)| \\ &\leq y + 2x \text{ (by hypotheses and lemma 5)} \end{aligned}$$

■

### 4.3 Accuracy Preservation

*Accuracy preservation* formalizes the notion that there should be a bound on the amount of correction applied in any synchronization interval.

**Condition 11 (accuracy preservation)** Given any subset  $C$  of the  $N$  clocks with  $|C| \geq N - F$ , and clock readings  $\theta$  such that for any  $l$  and  $m$  in  $C$ , the bound  $|\theta(l) - \theta(m)| \leq x$  holds, there is a bound  $\alpha(x)$  such that for any  $q$  in  $C$

$$|cfn(p, \theta) - \theta(q)| \leq \alpha(x)$$

**Theorem 3** Accuracy preservation is satisfied for  $cfn_{MID}(p, \theta)$  if  $\alpha(x) = x$ .

**Proof:** Begin by selecting  $p_1$  and  $q_1$  using lemma 2. Clearly,  $\theta(p_1) \geq cfn_{MID}(p, \theta)$  and  $cfn_{MID}(p, \theta) \geq \theta(q_1)$ . There are two cases to consider:

- If  $\theta(q) \leq cfn_{MID}(p, \theta)$ , then  $|cfn_{MID}(p, \theta) - \theta(q)| \leq |\theta(p_1) - \theta(q)| \leq x$ .
- If  $\theta(q) \geq cfn_{MID}(p, \theta)$ , then  $|cfn_{MID}(p, \theta) - \theta(q)| \leq |\theta(q_1) - \theta(q)| \leq x$ .

#### 4.4 EHDM Proofs of Convergence Properties

This section presents the important details of the EHDM proofs that  $cfn_{MID}(p, \theta)$  satisfies the convergence properties. In general, the proofs closely follow the presentation given above. The EHDM modules used in this effort are listed in the appendix. One underlying assumption is that  $N \geq 3F+1$ . This is a well known requirement for systems to achieve Byzantine fault-tolerance without requiring authentication. Another assumption added for this effort states that the array of clock readings can be sorted. Additionally, a few properties one would expect to be true of a sorted array were assumed. These additional properties used in the EHDM proofs are (from module `clocksort`):

**funsort\_ax: Axiom**

$$i \leq j \wedge j \leq N \supset \vartheta(\text{funsort}(\vartheta)(i)) \geq \vartheta(\text{funsort}(\vartheta)(j))$$

**funsort\_trans\_inv: Axiom**

$$k \leq N \supset (\vartheta(\text{funsort}((\lambda q : \vartheta(q) + X))(k)) = \vartheta(\text{funsort}(\vartheta)(k)))$$

**cnt\_sort\_geq: Axiom**

$$k \leq N \supset \text{count}((\lambda p : \vartheta(p) \geq \vartheta(\text{funsort}(\vartheta)(k))), N) \geq k$$

**cnt\_sort\_leq: Axiom**

$$k \leq N \supset \text{count}((\lambda p : \vartheta(\text{funsort}(\vartheta)(k)) \geq \vartheta(p)), N) \geq N - k + 1$$

These properties will be proven in the context of the design.

A few of the given modules are taken from Shankar's proofs [2]. These include the arithmetic modules (**absmod**, **multiplication**, and **division**), **clock-assumptions**, and **countmod**. With the exception of **countmod** these modules were unaltered. A number of lemmas were added to (and proven in) module **countmod**. The most important of these is the aforementioned pigeon hole principle. In addition, lemma **count.complement** was moved from Shankar's module **ica3** to **countmod**. Shankar's complete proof was re-run after the changes to ensure that nothing was inadvertently destroyed. Future efforts will likely require additional modifications to Shankar's modules.

The induction modules, **natinduction** and **noetherian**, were taken from Rushby's transient recovery verification [6]. The standard induction schema was modified to syntactically match that used by Shankar. In addition, a lemma was added for complete induction over the natural numbers. The remaining modules were generated in the course of this verification.

The appendix contains the proof chain analysis for the three properties stated above. The proof for translation invariance is in module **mid**, precision enhancement is in **mid3**, and accuracy preservation is in **mid4**.

## 5 Proposed Verification

This section describes the proposed verification that the circuit correctly implements the convergence function. First an informal description of the circuit is given, and then the verification plan is discussed. This design assumes that the network of clocks is completely connected.

### 5.1 Informal Description

As in other synchronization algorithms, this one consists of an infinite sequence of synchronization intervals of duration  $\approx R$ . For the time being, we will presume the constraints listed above. It is assumed that all good clocks know the index of the current interval (a simple counter is sufficient, provided that all *good* channels start the counter in the same interval). The major concern is when to begin the next interval. For this we require readings of the other clocks in the system, and a suitable convergence function. As stated above, the selected convergence function is the fault-tolerant mid-point.

In order to execute the convergence function to start the  $(i+1)$ th interval clock, we need an estimate of the other processes clocks when local time is

$(i+1)R$  (according to  $IC_p^i(t)$ ). Our estimate,  $\Theta_p^{i+1}$ , of other clocks is

$$\Theta_p^{i+1}(q) = (i+1)R + (Q - LC_p^i(t_{pq}))$$

where  $t_{pq}$  is the time that  $p$  receives the signal from  $q$ , and  $LC$  is a local counter measuring elapsed time since the beginning of the current interval. All clocks participating in the protocol know to send their signal when  $LC_p^i(t) = Q$ . The value  $(Q - LC_p^i(t_{pq}))$  gives the difference between when the local clock expected the signal and when it observed a signal from  $q$ . The reading is taken in such a way, that simply adding the value to the current time gives an estimate of the other processors clocks at that instant (modulo any effects from drift).

If the local processor  $p$  reads its clock at time  $t$  it will receive the pair  $(i, LC_p^i(t))$ . This reading gives the duration of time since the beginning of the protocol. The correct interpretation is  $VC_p(t) = iR + LC_p^i(t)$ . Thus the reading of the virtual clock just before  $p$  resets its registers for the  $i$ th interval will be  $iR + cfn_{MID}(p, (\lambda q. \Theta_p^i(q) - iR))$ . Notice that *translation invariance* allows the computation of the convergence function based solely on  $(\lambda q. (Q - LC_p^i(t_{pq})))$ .

Figure 3 presents an informal block model of the proposed clock synchronization circuit. The circuit consists of the following components:

- $N$  pulse recognizers (only one pulse per clock is recognized in any given interval),
- a pulse counter (triggers events based upon pulse arrivals),
- a local counter  $LC$  (measures elapsed time since beginning of current interval),
- an interval counter (contains the index  $i$  of the current interval),
- one adder for computing the value  $-(Q - LC_p^i(t_{pq}))$ ,
- one register each for storing  $-\theta_{(F+1)}$  and  $-\theta_{(N-F)}$ ,
- an adder for computing the sum of these two registers, and
- a divide-by-2 component.

The pulses are already sorted by arrival time, so it is natural to use a pulse counter to select the time-stamp of the  $(F+1)$ th and the  $(N-F)$ th pulses for the computation of the convergence function. As stated previously, all that is required is the difference between the local and remote clocks. Let  $\theta = (\lambda q. \Theta_p^{i+1}(q) - (i+1)R)$ . When the  $F+1$ st ( $N-F$ th) signal is observed, register  $-\theta_{(F+1)}$  ( $-\theta_{(N-F)}$ ) is clocked, saving the value  $-(Q - LC_p^i(t))$ . After  $N-F$  signals have been observed, the multiplexer selects the computed

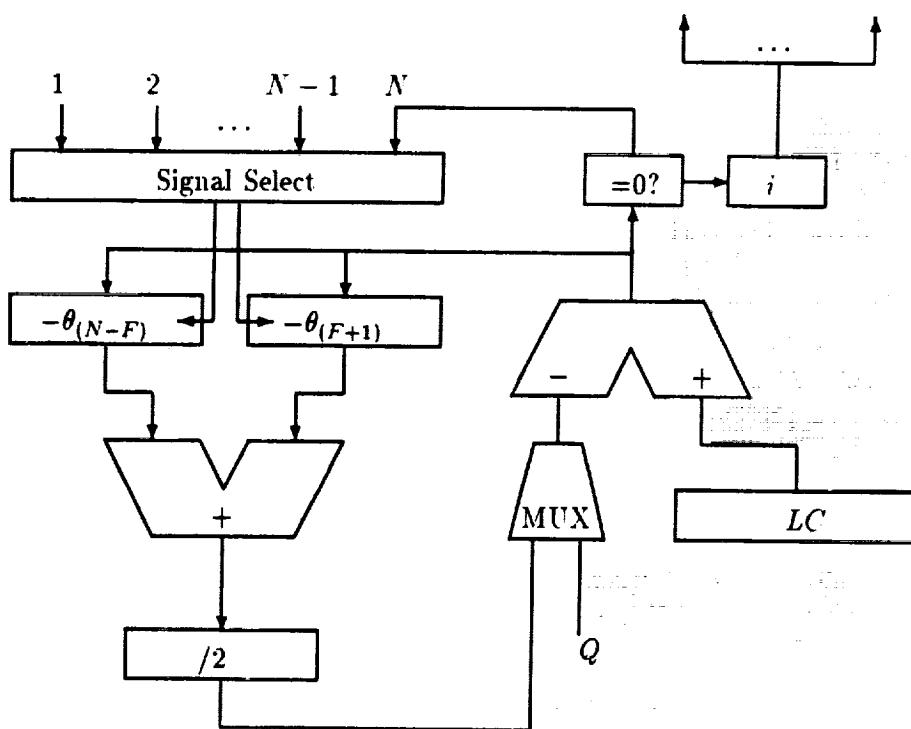


Figure 3: Informal Block Model

convergence function instead of  $Q$ . When  $LC_p^i(t) - (-cfn_{MID}(p, \theta)) = R$  it is time to begin the  $i + 1$ st interval. To do this, all that is required is to increment  $i$  and reset  $LC$  to 0. The pulse recognizers, multiplexer select and registers are also reset at this time.

## 5.2 Correctness Criteria

First, the RTL description will be entered in the EHDM specification language, and then EHDM will be used to prove that RTL description correctly implements  $cfn_{MID}(p, \theta)$ . Each block in the informal model will be decomposed into normal hardware components such as registers, arithmetic logic units, multiplexors, and standard logic components. A functional description will be given for each device, and their composition will be shown to implement the fault-tolerant mid-point convergence function. This part of the verification will assume the properties of read error, bounded drift, and initial synchronization. Any assumptions about the convergence function used in the proofs of translation invariance, precision enhancement, or accuracy preservation need to be discharged at this level.

## 6 Transient Recovery

The argument for transient recovery capabilities hinges upon the following observation:

*As long as there is power to the circuit and no faults are present, the circuit will execute the algorithm.*

Using the fact that the algorithm executes continually, and that pulses can be observed during the entire synchronization interval, we can establish that up to  $F$  transiently affected channels will automatically reintegrate themselves into the set of good channels.

We will break the discussion down into cases: First, the simple case when  $F = 1$ , and then the more general case for  $F > 1$ . Remember that  $N \geq 3F + 1$ . The reason two cases are considered is that only a simple modification to the hardware is required to guarantee reintegration when  $F = 1$ ; the more general case require more inventive techniques.

### 6.1 Single Fault Scenario

The only modification required is that the synchronization signals include the sender's value for  $i$  (the index for the current synch interval). By virtue of the maintenance algorithm the  $N - 1$  good clocks are synchronized within a bounded skew  $\delta \ll R$ . Suppose the recovering clock observes  $N - 1$  pulses within  $\delta + 2\Delta$ ; it will chose two of these good values for computing the convergence function and a simple vote of the received interval indices will restore correct time to a lost process.

There is a possibility that the readings from the good clocks will straddle the frame boundary. The recovering clock will be ignored in the computations of the good channel, and it should adjust its own clock such that in its next interval, it will see all of the good clocks. If the window is symmetric (i.e.  $Q = R/2$ ), it is possible that the recovering channel will compute no correction and will remain unsynchronized. However, if the window is asymmetric, a split at the boundaries will cause a recovering process to compute sufficient correction to push it into a region where it will see all the good clocks in the same interval. Thus,  $Q$  should be selected so that the window is asymmetric (i.e.  $Q \neq R/2$ ).

### 6.2 General Case

When  $F \geq 2$  the problem becomes more complicated. As above, if the recovering clock observes  $N - F$  pulses within  $\delta + 2\Delta$ , it will restore its synchrony via the convergence function and a vote of the received interval indices. However, if the good clocks straddle the boundary, the additional faulty clock(s) can prevent any adjustment from being computed on the recovering clock. It is likely that recovery cannot be guaranteed unless a timeout mechanism is added.

### 6.3 Comparison with Other Approaches

A number of other fault-tolerant clock synchronization protocols allow for restoration of a lost clock. The approach taken here is very similar to that proposed by Welch and Lynch [11]. They propose that when a process awakens, that it observe incoming messages until it can determine which round is underway, and then wait sufficiently long to ensure that it has seen all valid messages in that round. It can then compute the necessary correction to become synchronized. Srikanth and Toueg [12] use a similar approach, modified to the context of their algorithm. Halpern et al. [13] suggest a rather

complicated protocol which requires explicit cooperation of other clocks in the system. It is more appropriate when the number of clocks in the system varies greatly over time. All of these approaches have the common theme, namely, that the joining processor knows that it wants to join. This implies the presence of some diagnostic logic or timeout mechanism which triggers the recovery process. The approach suggested here happens automatically. By virtue of the algorithm's execution in dedicated hardware, there is no need to awaken a process to participate in the protocol. The main idea is for the recovering process to converge to a state where it will observe all other clocks in the same interval, and then to restore the correct interval counter.

## 7 Initial Synchronization

If we can get into a state which satisfies the requirements for *precision enhancement*:

*Given any subset  $C$  of the  $N$  clocks with  $|C| \geq N - F$ , and clocks  $p$  and  $q$  in  $C$ , then for any readings  $\gamma$  and  $\theta$  satisfying the conditions*

1. *for any  $l$  in  $C$ ,  $|\gamma(l) - \theta(l)| \leq x$*
2. *for any  $l, m$  in  $C$ ,  $|\gamma(l) - \gamma(m)| \leq y$*
3. *for any  $l, m$  in  $C$ ,  $|\theta(l) - \theta(m)| \leq y$*

*there is a bound  $\pi(x, y)$  such that*

$$|cfn(p, \gamma) - cfn(q, \theta)| \leq \pi(x, y)$$

where  $y = R/2$  and  $x$  is the normal value ( $\approx 2\Lambda$ ), the above circuit will converge to within  $\delta_S$  in approximately  $\log_2(R/2)$  intervals. Byzantine agreement will then be required to establish a consistent interval counter. It will be necessary to ensure that the clocks converge to a state satisfying the above constraints.

### 7.1 Mechanisms for Initialization

In order to ensure that we reach a state which satisfies the above requirements, it is necessary to identify possible states which violate the above requirements. Such states would happen due to the behavior of clocks prior to the time that enough good clocks are running. In previous cases we knew

we had a set  $C$  of good clocks with  $|C| \geq N - F$ . This means that there were a sufficient number of clock readings to resolve  $\theta_{(F+1)}$  and  $\theta_{(N-F)}$ . This may not be the case during initialization. We need to determine a course of action when we do not observe  $N - F$  clocks. Two plausible options are to

1. pretend all clocks are observed to be in perfect synchrony, or
2. pretend that unobserved clocks are observed at the end of the interval (i.e.  $(LC_p(t_{pq}) - Q) = (R - Q)$ ). Compute the correction based upon this value.

Both options will be explored. The first option is simple to implement because no correction is necessary. When  $LC = R$ , set both  $i$  and  $LC$  to 0, and reset the circuit for the next interval. To implement the second option, perform the following action when  $LC = R$ : if fewer than  $N - F$  ( $F + 1$ ) signals are observed, then enable register  $-\theta_{(N-F)}$  ( $-\theta_{(F+1)}$ ). This will cause the unobserved readings to be  $(R - Q)$  which is equivalent to observing the pulse at the end of an interval of duration  $R$ .

It will be necessary to define a *convergence stair* (ala [15]) for scenarios that don't converge by default.

## 7.2 Comparison to Other Approaches

Most of the comments concerning the approach to transient recovery are applicable here as well. This approach for achieving initial synchronization differs from most methods in that it first synchronizes the interval clocks, and then it decides upon a value for the current interval. Techniques in [11], [12], and [13] all depend upon the good clocks knowing that they wish to initialize. Agreement is reached among the clocks wishing to join, and then the protocol begins. The approach taken here seems closer to that used in [14], however, details of their approach are not given.

## 8 Concluding Remarks

Clock synchronization provides the cornerstone of any fault-tolerant computer architecture. To avoid a single point failure it is imperative that each processor maintain a local clock which is periodically resynchronized with other clocks in a fault-tolerant manner. Due to subtleties involved in reasoning about interactions involving misbehaving components, it is necessary to prove that the clock synchronization function operates correctly. Shankar

[2] provides a mechanical proof (using EHDM [3]) that Schneider's generalized protocol [1] achieves Byzantine fault-tolerant clock synchronization, provided that eleven constraints are satisfied. Shankar's work provides the formal specification of the proposed verified design.

The fault-tolerant midpoint convergence function has been proven (in EHDM) to satisfy the properties of translation invariance, precision enhancement, and accuracy preservation. These proofs are reusable in the verification of any synchronization algorithm which uses the same function. An informal design of a circuit to implement this function has been presented. Future efforts will focus on formalizing this design and proving the additional required properties from it. A register transfer level description of the design will be expressed in the specification language of EHDM, and proven to correctly implement the fault-tolerant midpoint function. Other properties to be proven from the design include bounded interval, bounded delay, initial synchronization, non-overlap, and any assumptions made in establishing the properties of the convergence function. Bounded drift is a physical property of the oscillator and cannot be established formally. The value for drift will be taken from the oscillator's stated performance parameters. It is assumed that the number of faults  $F$  is less than  $N/3$ , where  $N$  denotes the number of clocks in the system. Read error will be assumed in this development, but there is ongoing work at SRI to prove that remote clocks can be read with bounded error. An approach for bounding initial skew will be verified for the single fault scenario and a more general solution will be explored.

In keeping with the spirit of the Reliable Computing Platform, it is imperative that the clock synchronization subsystem provide for recovery from transient faults. This paper has argued that the proposed design will recover from a single transient fault. This argument will be formalized in EHDM using an approach similar to that used by DiVito, Butler, and Caldwell for the RCP [4]. Extensions to accommodate the more general case will be developed, but would likely involve modifications to the design. An interesting feature of this design is that for the single fault case (i.e. 4, 5, or 6 clocks), the properties of transient recovery and initial synchronization occur automatically. The clock system will recover without explicitly recognizing that something is amiss. The system can be augmented to recognize loss of synchrony due to a transient fault, but need not do so for recovery purposes.

## A Proof Summary

Notice that the only modules with failed proofs have the suffix \_tcc. These modules are automatically generated by EHDM, and cannot be altered by the user. When a proof fails the user must prove the type check constraint elsewhere. The proof chain analysis (Appendix C) ensures that these obligations have been discharged.

Proof summaries for modules on using chain of module mid\_top

Module mid4_tcc:	1 successful proof, 1 failure, 0 errors
Module mid3_tcc:	8 successful proofs, 5 failures, 0 errors
Module mid2_tcc:	2 successful proofs, 2 failures, 0 errors
Module mid_tcc:	2 successful proofs, 1 failure, 0 errors
Module tcc_mid:	9 successful proofs, 0 failures, 0 errors
Module division_tcc:	7 successful proofs, 0 failures, 0 errors
Module natinduction_tcc:	1 successful proof, 0 failures, 0 errors
Module countmod_tcc:	3 successful proofs, 3 failures, 0 errors
Module ft_mid_assume:	no proofs
Module clocksort:	no proofs
Module select_defs:	6 successful proofs, 0 failures, 0 errors
Module mid:	2 successful proofs, 0 failures, 0 errors
Module mid2:	2 successful proofs, 0 failures, 0 errors
Module mid3:	9 successful proofs, 0 failures, 0 errors
Module noetherian:	1 successful proof, 0 failures, 0 errors
Module natinduction:	5 successful proofs, 0 failures, 0 errors
Module countmod:	30 successful proofs, 0 failures, 0 errors
Module clockassumptions:	9 successful proofs, 0 failures, 0 errors
Module absmod:	15 successful proofs, 0 failures, 0 errors
Module division:	11 successful proofs, 0 failures, 0 errors
Module multiplication:	11 successful proofs, 0 failures, 0 errors
Module arith:	no proofs
Module mid4:	9 successful proofs, 0 failures, 0 errors
Module mid_top:	3 successful proofs, 0 failures, 0 errors
 Totals:	 146 successful proofs, 12 failures, 0 errors

Total time: 715 seconds.

## B L<sup>A</sup>T<sub>E</sub>X printed EHDM Modules

**mid\_top: Module**

**Using** mid4.countmod\_tcc, natinduction\_tcc, division\_tcc.  
tcc\_mid

**Theory**

**Proof**

**posint\_TCC1.PROOF: Prove** posint\_TCC1  $\{i_1 = 1\}$

**countmod\_TCC4.pr: Prove** count\_TCC4 **from**  
countszie,  
countszie  $\{i = (\text{if } i > 0 \text{ then } i - 1 \text{ else } i \text{ end if})\}$

**countmod\_TCC5.pr: Prove** count\_TCC5 **from**  
countszie,  
countszie  $\{i = (\text{if } i > 0 \text{ then } i - 1 \text{ else } i \text{ end if})\}$

**End mid\_top**

**countmod\_tcc: Module**

**Using countmod**

**Exporting all with countmod**

**Theory**

*i<sub>1</sub>*: Var integer

ppred: Var function[naturalnumber — boolean]

*i*: Var naturalnumber

*p*: Var naturalnumber

*k*: Var naturalnumber

*n*: Var naturalnumber

*d<sub>1</sub>*: Var nk\_type

*nk*: Var nk\_type

*nk2*: Var nk\_type

*j*: Var naturalnumber

posint\_TCC1: Formula ( $\exists i_1 : i_1 > 0$ )

count\_TCC1: Formula ( $i > 0 \supset (i - 1 \geq 0)$ )

count\_TCC2: Formula (ppred( $i - 1$ )  $\wedge (i > 0) \supset (i - 1 \geq 0)$ )

count\_TCC3: Formula ( $\neg(\text{ppred}(i - 1)) \wedge (i > 0) \supset (i - 1 \geq 0)$ )

count\_TCC4: Formula

(ppred( $i - 1$ )  $\wedge (i > 0)$ )

$\supset \text{counts}(ppred, i) > \text{counts}(ppred, i - 1)$

count\_TCC5: Formula

( $\neg(\text{ppred}(i - 1)) \wedge (i > 0)$ )

$\supset \text{counts}(ppred, i) > \text{counts}(ppred, i - 1)$

**Proof**

posint\_TCC1\_PROOF: Prove posint\_TCC1

count\_TCC1\_PROOF: Prove count\_TCC1

count\_TCC2\_PROOF: Prove count\_TCC2

**count\_TCC3\_PROOF: Prove count\_TCC3**

**count\_TCC4\_PROOF: Prove count\_TCC4**

**count\_TCC5\_PROOF: Prove count\_TCC5**

**End countmod\_tcc**

**natinduction\_tcc: Module**

**Using natinduction**

**Exporting all with natinduction**

**Theory**

*m*: Var naturalnumber

*n*: Var naturalnumber

*i*: Var naturalnumber

*j*: Var naturalnumber

*d<sub>1</sub>*: Var naturalnumber

ind\_m\_proof\_TCC1: Formula

( if  $n \geq m$  then  $n - m$  else 0 end if  $\geq 0$  )

**Proof**

ind\_m\_proof\_TCC1\_PROOF: Prove ind\_m\_proof\_TCC1

**End natinduction\_tcc**

**division\_tcc: Module**

**Using division**

**Exporting all with division**

**Theory**

**$x$ : Var number**

**$y$ : Var number**

**$z$ : Var number**

**mult\_div\_1\_TCC1: Formula  $(z \neq 0) \supset (z \neq 0)$**

**mult\_div\_TCC1: Formula  $(y \neq 0) \supset (y \neq 0)$**

**div\_cancel\_TCC1: Formula  $(x \neq 0) \supset (x \neq 0)$**

**ceil\_mult\_div\_TCC1: Formula  $(y > 0) \supset (y \neq 0)$**

**div\_nonnegative\_TCC1: Formula  $(x \geq 0 \wedge y > 0) \supset (y \neq 0)$**

**div\_ineq\_TCC1: Formula  $(z > 0 \wedge x \leq y) \supset (z \neq 0)$**

**div\_minus\_1\_TCC1: Formula  $(y > 0 \wedge x < 0) \supset (y \neq 0)$**

**Proof**

**mult\_div\_1\_TCC1\_PROOF: Prove mult\_div\_1\_TCC1**

**mult\_div\_TCC1\_PROOF: Prove mult\_div\_TCC1**

**div\_cancel\_TCC1\_PROOF: Prove div\_cancel\_TCC1**

**ceil\_mult\_div\_TCC1\_PROOF: Prove ceil\_mult\_div\_TCC1**

**div\_nonnegative\_TCC1\_PROOF: Prove div\_nonnegative\_TCC1**

**div\_ineq\_TCC1\_PROOF: Prove div\_ineq\_TCC1**

**div\_minus\_1\_TCC1\_PROOF: Prove div\_minus\_1\_TCC1**

**End division\_tcc**

**tcc\_mid: Module**

**Using mid\_tcc, mid2\_tcc, mid3\_tcc, mid4\_tcc**

**Theory**

**Proof**

**ft\_mid\_TCC2\_PROOF: Prove ft\_mid\_TCC2 from ft\_mid\_maxfaults**

**good\_less\_NF\_TCC1\_PROOF: Prove good\_less\_NF\_TCC1 from  
ft\_mid\_maxfaults**

**good\_less\_NF\_pr\_TCC1\_PROOF: Prove good\_less\_NF\_pr\_TCC1 from  
ft\_mid\_maxfaults**

**good\_between\_TCC1\_PROOF: Prove good\_between\_TCC1 from  
ft\_mid\_maxfaults**

**ft\_mid\_prec\_sym1\_TCC2\_PROOF: Prove ft\_mid\_prec\_sym1\_TCC2 from  
ft\_mid\_maxfaults**

**ft\_mid\_prec\_sym1\_TCC4\_PROOF: Prove ft\_mid\_prec\_sym1\_TCC4 from  
ft\_mid\_maxfaults**

**mid\_gt\_imp\_sel\_gt\_TCC2\_PROOF: Prove mid\_gt\_imp\_sel\_gt\_TCC2 from  
ft\_mid\_maxfaults**

**ft\_mid\_prec\_sym1\_pr\_TCC2\_PROOF: Prove ft\_mid\_prec\_sym1\_pr\_TCC2  
from ft\_mid\_maxfaults**

**ft\_mid\_greater\_TCC1\_PROOF: Prove ft\_mid\_greater\_TCC1 from  
ft\_mid\_maxfaults**

**End tcc\_mid**

**absmod: Module**

**Using multiplication**

**Exporting all**

**Theory**

$x, y, z, x_1, y_1, z_1, x_2, y_2, z_2$ : Var number  
|★ 1|: Definition function[number — number] =  
( $\lambda x$ : ( if  $x < 0$  then  $-x$  else  $x$  end if))

**abs\_main: Lemma**  $|x| < z \supset (x < z \vee -x < z)$

**abs\_leq\_0: Lemma**  $|x - y| \leq z \supset (x - y) \leq z$

**abs\_diff: Lemma**  $|x - y| < z \supset ((x - y) < z \vee (y - x) < z)$

**abs\_leq: Lemma**  $|x| \leq z \supset (x \leq z \vee -x \leq z)$

**abs\_bnd: Lemma**  
 $0 \leq z \wedge 0 \leq x \wedge x \leq z \wedge 0 \leq y \wedge y \leq z \supset |x - y| \leq z$

**abs\_1\_bnd: Lemma**  $|x - y| \leq z \supset x \leq y + z$

**abs\_2\_bnd: Lemma**  $|x - y| \leq z \supset x \geq y - z$

**abs\_3\_bnd: Lemma**  $x \leq y + z \wedge x \geq y - z \supset |x - y| \leq z$

**abs\_drift: Lemma**  
 $|x - y| \leq z \wedge |x_1 - x| \leq z_1 \supset |x_1 - y| \leq z + z_1$

**abs\_com: Lemma**  $|x - y| = |y - x|$

**abs\_drift\_2: Lemma**  
 $|x - y| \leq z \wedge |x_1 - x| \leq z_1 \wedge |y_1 - y| \leq z_2$   
 $\supset |x_1 - y_1| \leq z + z_1 + z_2$

**abs\_geq: Lemma**  $x \geq y \wedge y \geq 0 \supset |x| \geq |y|$

**abs\_ge0: Lemma**  $x \geq 0 \supset |x| = x$

**abs\_plus: Lemma**  $|x + y| \leq |x| + |y|$

**abs\_diff\_3: Lemma**  $x - y \leq z \wedge y - x \leq z \supset |x - y| \leq z$

### Proof

**abs\_plus.pr: Prove abs\_plus from**

$|★ 1| \{x - x + y\}, |★ 1|, |★ 1| \{x - y\}$

**abs\_diff\_3.pr: Prove abs\_diff\_3 from**  $|★ 1| \{x - x - y\}$

**abs\_ge0\_proof: Prove abs\_ge0 from**  $|★ 1|$

**abs\_geq\_proof: Prove abs\_geq from**  $|★ 1|, |★ 1| \{x - y\}$

**abs\_drift\_2\_proof: Prove abs\_drift\_2 from**

**abs\_drift,**

**abs\_drift**

$\{x - y,$

$y - y_1,$

$z - z_2,$

$z_1 - z + z_1\},$

**abs\_com**  $\{x - y_1\}$

**abs\_com\_proof: Prove abs\_com from**

$|★ 1| \{x - (x - y)\}, |★ 1| \{x - (y - x)\}$

**abs\_drift\_proof: Prove abs\_drift from**

**abs\_1\_bnd,**

**abs\_1\_bnd**  $\{x - x_1, y - x, z - z_1\},$

**abs\_2\_bnd,**

**abs\_2\_bnd**  $\{x - x_1, y - x, z - z_1\},$

**abs\_3\_bnd**  $\{x - x_1, z - z + z_1\}$

**abs\_3\_bnd\_proof: Prove abs\_3\_bnd from**  $|★ 1| \{x - (x - y)\}$

**abs\_main\_proof: Prove abs\_main from**  $|★ 1|$

**abs\_leq\_0\_proof: Prove abs\_leq\_0 from**  $|★ 1| \{x - x - y\}$

**abs\_diff\_proof: Prove abs\_diff from**  $|★ 1| \{x - (x - y)\}$

**abs\_leq\_proof: Prove abs\_leq from**  $|★ 1|$

```
abs_bnd_proof: Prove abs_bnd from |★ 1| {x = (x - y)}  
abs_1_bnd_proof: Prove abs_1_bnd from |★ 1| {x = (x - y)}  
abs_2_bnd_proof: Prove abs_2_bnd from |★ 1| {x = (x - y)}  
End absmod
```

**multiplication: Module**

**Exporting all**

**Theory**

$x, y, z, x_1, y_1, z_1, x_2, y_2, z_2$ : **Var number**

$\star 1 \star 2$ : **function**[number, number — number] =  $(\lambda x, y : (x * y))$

**mult\_Idistrib**: **Lemma**  $x * (y + z) = x * y + x * z$

**mult\_Idistrib\_minus**: **Lemma**  $x * (y - z) = x * y - x * z$

**mult\_Ident**: **Lemma**  $x * 1 = x$

**mult\_Ident**: **Lemma**  $1 * x = x$

**distrib**: **Lemma**  $(x + y) * z = x * z + y * z$

**distrib\_minus**: **Lemma**  $(x - y) * z = x * z - y * z$

**mult\_non\_neg**: **Axiom**

$((x \geq 0 \wedge y \geq 0) \vee (x \leq 0 \wedge y \leq 0)) \Leftrightarrow x * y \geq 0$

**mult\_pos**: **Axiom**  $((x > 0 \wedge y > 0) \vee (x < 0 \wedge y < 0)) \Leftrightarrow x * y > 0$

**mult\_com**: **Lemma**  $x * y = y * x$

**pos\_product**: **Lemma**  $x \geq 0 \wedge y \geq 0 \supset x * y \geq 0$

**mult\_leq**: **Lemma**  $z \geq 0 \wedge x \geq y \supset x * z \geq y * z$

**mult\_leq\_2**: **Lemma**  $z \geq 0 \wedge x \geq y \supset z * x \geq z * y$

**mult\_l0**: **Axiom**  $0 * x = 0$

**mult\_gt**: **Lemma**  $z > 0 \wedge x > y \supset x * z > y * z$

**Proof**

**mult\_gt\_pr**: **Prove** **mult\_gt** **from**

**mult\_pos**  $\{x = x - y, y \leftarrow z\}$ , **distrib\_minus**

```

distrib_minus_pr: Prove distrib_minus from
  mult_Idistrib_minus { $x - z, y - x, z - y$ },
  mult_com { $x - x - y, y - z$ },
  mult_com { $y - z$ },
  mult_com { $x - y, y - z$ }

mult_leq_2_pr: Prove mult_leq_2 from
  mult_Idistrib_minus { $x - z, y - x, z - y$ },
  mult_non_neg { $x - z, y - x - y$ }

mult_leq_pr: Prove mult_leq from
  distrib_minus, mult_non_neg { $x - x - y, y - z$ }

mult_com_pr: Prove mult_com from
   $\star1 \star \star2, \star1 \star \star2 \{x - y, y - x\}$ 

pos_product_pr: Prove pos_product from mult_non_neg

mult_rident_proof: Prove mult_rident from  $\star1 \star \star2 \{y - 1\}$ 

mult_lident_proof: Prove mult_lident from
   $\star1 \star \star2 \{x - 1, y - x\}$ 

distrib_proof: Prove distrib from
   $\star1 \star \star2 \{x - x + y, y - z\}$ ,
   $\star1 \star \star2 \{y - z\}$ ,
   $\star1 \star \star2 \{x - y, y - z\}$ 

mult_Idistrib_proof: Prove mult_Idistrib from
   $\star1 \star \star2 \{y - y + z, x - x\}, \star1 \star \star2, \star1 \star \star2 \{y - z\}$ 

mult_Idistrib_minus_proof: Prove mult_Idistrib_minus from
   $\star1 \star \star2 \{y - y - z, x - x\}, \star1 \star \star2, \star1 \star \star2 \{y - z\}$ 

```

**End multiplication**

noetherian: **Module** [dom: **Type**, <: **function**[dom, dom — bool]]

### Assuming

measure: **Var** **function**[dom — nat]

a, b: **Var** dom

well\_founded: **Formula**

( $\exists$  measure :  $a < b \supset \text{measure}(a) < \text{measure}(b)$ )

### Theory

p, A, B: **Var** **function**[dom — bool]

d, d<sub>1</sub>, d<sub>2</sub>: **Var** dom

general\_induction: **Axiom**

( $\forall d_1 : (\forall d_2 : d_2 < d_1 \supset p(d_2)) \supset p(d_1)) \supset (\forall d : p(d))$

d<sub>3</sub>, d<sub>4</sub>: **Var** dom

mod\_induction: **Theorem**

( $\forall d_3, d_4 : d_4 < d_3 \supset A(d_3) \supset A(d_4))$   
 $\wedge (\forall d_1 : (\forall d_2 : d_2 < d_1 \supset (A(d_1) \wedge B(d_2))) \supset B(d_1))$   
 $\supset (\forall d : A(d) \supset B(d))$

### Proof

mod\_proof: **Prove**

mod\_induction {d<sub>1</sub> — d<sub>1</sub> @ p1, d<sub>3</sub> — d<sub>1</sub> @ p1, d<sub>4</sub> — d<sub>2</sub>}

from general\_induction {p — ( $\lambda d : A(d) \supset B(d)$ )}

End noetherian

**select\_defs: Module**

**Using arith, countmod, clockassumptions, clocksort**

**Exporting all with clockassumptions**

**Theory**

**process: Type is nat**

**Clocktime: Type is number**

**$l, m, n, p, q$ : Var process**

**$\vartheta$ : Var function[process — Clocktime]**

**$i, j, k$ : Var posint**

**$T, X, Y, Z$ : Var Clocktime**

**$\star1_{(\star2)}$ : function[function[process — Clocktime], posint  
— Clocktime] == (  $\lambda \vartheta, i : \vartheta(\text{funsort}(\vartheta)(i))$  )**

**select\_trans\_inv: Lemma**

$k \leq N \supset (\lambda q : \vartheta(q) + X)_{(k)} = \vartheta_{(k)} + X$

**select\_exists1: Lemma**  $i \leq N \supset (\exists p : p < N \wedge \vartheta(p) = \vartheta_{(i)})$

**select\_exists2: Lemma**  $p < N \supset (\exists i : i \leq N \wedge \vartheta(p) = \vartheta_{(i)})$

**select\_ax: Lemma**  $1 \leq i \wedge i < k \wedge k \leq N \supset \vartheta_{(i)} \geq \vartheta_{(k)}$

**count\_geq\_select: Lemma**

$k \leq N \supset \text{count}((\lambda p : \vartheta(p) \geq \vartheta_{(k)}), N) \geq k$

**count\_leq\_select: Lemma**

$k \leq N \supset \text{count}((\lambda p : \vartheta_{(k)} \geq \vartheta(p)), N) \geq N - k + 1$

**Proof**

**select\_trans\_inv\_pr: Prove select\_trans\_inv from  
funsort\_trans\_inv**

**select\_exists1\_pr: Prove select\_exists1 { $p \leftarrow \text{funsort}(\vartheta)(i)$ }**  
from funsort\_fun\_1\_1 { $j = i$ }

**select\_exists2\_pr: Prove select\_exists2 { $i \leftarrow i @ p1$ }** from  
funsort\_fun\_onto

```
select_ax_pr: Prove select_ax from
  funsort_ax {i = i@c, j = k@c}

count_leq_select_pr: Prove count_leq_select from cnt_sort_leq

count_geq_select_pr: Prove count_geq_select from cnt_sort_geq

End select_defs
```

**ft\_mid\_assume: Module**

**Using clockassumptions**

**Exporting all with clockassumptions**

**Theory**

**ft\_mid\_maxfaults: Axiom**  $N \geq 3 * F + 1$

**End ft\_mid\_assume**

**arith: Module**

**Using multiplication, division, absmod**

**Exporting all with multiplication, division, absmod**

**End arith**

## clocksort: Module

### Using clockassumptions

### Exporting all with clockassumptions

### Theory

*l, m, n, p, q: Var process*

*i, j, k: Var posint*

*X, Y: Var Clocktime*

*θ: Var function[process — Clocktime]*

*funsort: function[function[process — Clocktime]  
— function[posint — process]]*

*funsort\_ax: Axiom*

$i \leq j \wedge j \leq N \supset \vartheta(\text{funsort}(\vartheta)(i)) \geq \vartheta(\text{funsort}(\vartheta)(j))$

*funsort\_fun\_1\_1: Axiom*

$i \leq N \wedge j \leq N \wedge \text{funsort}(\vartheta)(i) = \text{funsort}(\vartheta)(j)$

$\supset i = j \wedge \text{funsort}(\vartheta)(i) < N$

*funsort\_fun\_onto: Axiom*

$p < N \supset (\exists i : i \leq N \wedge \text{funsort}(\vartheta)(i) = p)$

*funsort\_trans\_inv: Axiom*

$k \leq N \supset (\vartheta(\text{funsort}((\lambda q : \vartheta(q) + X))(k)) = \vartheta(\text{funsort}(\vartheta)(k)))$

*cnt\_sort\_geq: Axiom*

$k \leq N \supset \text{count}((\lambda p : \vartheta(p) \geq \vartheta(\text{funsort}(\vartheta)(k))), N) \geq k$

*cnt\_sort\_leq: Axiom*

$k \leq N \supset \text{count}((\lambda p : \vartheta(\text{funsort}(\vartheta)(k)) \geq \vartheta(p)), N) \geq N - k + 1$

### Proof

### End clocksort

**clockassumptions: Module**

**Using arith.countmod**

**Exporting all with countmod, arith**

**Theory**

$N: \text{nat}$

**N\_0: Axiom  $N > 0$**

**process: Type is nat**

**event: Type is nat**

**time: Type is number**

**Clocktime: Type is number**

$l, m, n, p, q, p_1, p_2, q_1, q_2, p_3, q_3: \text{Var process}$

$i, j, k: \text{Var event}$

$x, y, z, r, s, t: \text{Var time}$

$X, Y, Z, R, S, T: \text{Var Clocktime}$

$\gamma, \theta: \text{Var function}[\text{process} \rightarrow \text{Clocktime}]$

$\delta, \mu, \rho, r_{min}, r_{max}, \beta, \Lambda: \text{number}$

$PC_{*1}(\star 2), VC_{*1}(\star 2): \text{function}[\text{process}, \text{time} \rightarrow \text{Clocktime}]$

$t_{*1}^{*2}: \text{function}[\text{process}, \text{event} \rightarrow \text{time}]$

$\Theta_{*1}^{*2}: \text{function}[\text{process}, \text{event}]$

$\rightarrow \text{function}[\text{process} \rightarrow \text{Clocktime}]$

$IC_{*1}^{*2}(\star 3): \text{function}[\text{process}, \text{event}, \text{time} \rightarrow \text{Clocktime}]$

$\text{correct}: \text{function}[\text{process}, \text{time} \rightarrow \text{bool}]$

$\text{em cfn}: \text{function}[\text{process}, \text{function}[\text{process} \rightarrow \text{Clocktime}]$

$\rightarrow \text{Clocktime}]$

$\pi: \text{function}[\text{Clocktime}, \text{Clocktime} \rightarrow \text{Clocktime}]$

$\alpha: \text{function}[\text{Clocktime} \rightarrow \text{Clocktime}]$

**delta\_0: Axiom  $\delta \geq 0$**

**mu\_0: Axiom  $\mu \geq 0$**

**rho\_0: Axiom  $\rho \geq 0$**

**rho\_1: Axiom  $\rho < 1$**

**rmin\_0: Axiom  $r_{min} > 0$**

**rmax\_0: Axiom**  $r_{max} > 0$   
**beta\_0: Axiom**  $\beta \geq 0$   
**lamb\_0: Axiom**  $\Lambda \geq 0$   
**init: Axiom**  $\text{correct}(p, 0) \supset PC_p(0) \geq 0 \wedge PC_p(0) \leq \mu$   
**correct\_closed: Axiom**  $s \geq t \wedge \text{correct}(p, s) \supset \text{correct}(p, t)$   
**rate\_1: Axiom**  
 $\text{correct}(p, s) \wedge s \geq t \supset PC_p(s) - PC_p(t) \leq (s - t) \star (1 + \rho)$   
**rate\_2: Axiom**  
 $\text{correct}(p, s) \wedge s \geq t \supset PC_p(s) - PC_p(t) \geq (s - t) \star (1 - \rho)$   
**rts0: Axiom**  $\text{correct}(p, t) \wedge t \leq t_p^{i+1} \supset t - t_p^i \leq r_{max}$   
**rts1: Axiom**  $\text{correct}(p, t) \wedge t \geq t_p^{i+1} \supset t - t_p^i \geq r_{min}$   
**rts\_0: Lemma**  $\text{correct}(p, t_p^{i+1}) \supset t_p^{i+1} - t_p^i \leq r_{max}$   
**rts\_1: Lemma**  $\text{correct}(p, t_p^{i+1}) \supset t_p^{i+1} - t_p^i \geq r_{min}$   
**rts2: Axiom**  
 $\text{correct}(p, t) \wedge t \geq t_q^i + \beta \wedge \text{correct}(q, t) \supset t \geq t_p^i$   
**rts\_2: Axiom**  
 $\text{correct}(p, t_p^i) \wedge \text{correct}(q, t_q^i) \supset t_p^i - t_q^i \leq \beta$   
**synctime\_0: Axiom**  $t_p^0 = 0$   
**VClock\_defn: Axiom**  
 $\text{correct}(p, t) \wedge t \geq t_p^i \wedge t < t_p^{i+1} \supset VC_p(t) = IC_p^i(t)$   
**Adj: function**[process, event — Clocktime] =  
 $(\lambda p, i : (\text{if } i > 0 \text{ then } cfn(p, \Theta_p^i) - PC_p(t_p^i) \text{ else } 0 \text{ end if}))$   
**IClock\_defn: Axiom**  $\text{correct}(p, t) \supset IC_p^i(t) = PC_p(t) + \text{Adj}(p, i)$

**Readerror: Axiom**

$$\text{correct}(p, t_p^{i+1}) \wedge \text{correct}(q, t_p^{i+1}) \\ \supset | \Theta_p^{i+1} q - IC_q^i(t_p^{i+1}) | \leq \Lambda$$

**translation\_invariance: Axiom**

$$X \geq 0 \supset cfn(p, (\lambda p_1 - \text{Clocktime} : \gamma(p_1) + X)) = cfn(p, \gamma) + X$$

**ppred: Var function[process — bool]**

*F*: process

**okay\_Readpred: function[function[process — Clocktime],**

$$\text{Clocktime, function[process — bool]} \\ - \text{bool}] =$$

$(\lambda \gamma, Y, \text{ppred} :$

$$(\forall l, m : \text{ppred}(l) \wedge \text{ppred}(m) \supset |\gamma(l) - \gamma(m)| \leq Y))$$

**okay\_pairs: function[function[process — Clocktime],**

$$\text{function[process — Clocktime], Clocktime,} \\ \text{function[process — bool] — bool}] =$$

$(\lambda \gamma, \theta, X, \text{ppred} :$

$$(\forall p_3 : \text{ppred}(p_3) \supset |\gamma(p_3) - \theta(p_3)| \leq X))$$

**N\_maxfaults: Axiom  $F \leq N$**

**precision\_enhancement\_ax: Axiom**

$$\text{count}(\text{ppred}, N) \geq N - F$$

$$\wedge \text{okay_Readpred}(\gamma, Y, \text{ppred})$$

$$\wedge \text{okay_Readpred}(\theta, Y, \text{ppred})$$

$$\wedge \text{okay_pairs}(\gamma, \theta, X, \text{ppred}) \wedge \text{ppred}(p) \wedge \text{ppred}(q)$$

$$\supset |cfn(p, \gamma) - cfn(q, \theta)| \leq \pi(X, Y)$$

**correct\_count: Axiom  $\text{count}((\lambda p : \text{correct}(p, t)), N) \geq N - F$**

```

okay_Reading: function[function[process — Clocktime].
                           Clocktime.time — bool] =
  (  $\lambda \gamma, Y, t :$ 
    (  $\forall p_1, q_1 :$ 
      correct(p1, t)  $\wedge$  correct(q1, t)  $\supset$   $|\gamma(p_1) - \gamma(q_1)| \leq Y$ ))
okay_Readvars: function[function[process — Clocktime].
                           function[process — Clocktime].
                           Clocktime.Clocktime — bool] =
  (  $\lambda \gamma, \theta, X, t :$ 
    (  $\forall p_3 : \text{correct}(p_3, t) \supset |\gamma(p_3) - \theta(p_3)| \leq X$ ))

okay_Readpred_Reading: Lemma
  okay_Reading( $\gamma, Y, t$ )
   $\supset$  okay_Readpred( $\gamma, Y, (\lambda p : \text{correct}(p, t))$ )

okay_pairs_Readvars: Lemma
  okay_Readvars( $\gamma, \theta, X, t$ )
   $\supset$  okay_pairs( $\gamma, \theta, X, (\lambda p : \text{correct}(p, t))$ )

precision_enhancement: Lemma
  okay_Reading( $\gamma, Y, t_p^{i+1}$ )
   $\wedge$  okay_Reading( $\theta, Y, t_p^{i+1}$ )
   $\wedge$  okay_Readvars( $\gamma, \theta, X, t_p^{i+1}$ )
   $\wedge$  correct( $p, t_p^{i+1}$ )  $\wedge$  correct( $q, t_p^{i+1}$ )
   $\supset$   $|cfn(p, \gamma) - cfn(q, \theta)| \leq \pi(X, Y)$ 

okay_Reading_defn_lr: Lemma
  okay_Reading( $\gamma, Y, t$ )
   $\supset$  (  $\forall p_1, q_1 :$ 
    correct(p1, t)  $\wedge$  correct(q1, t)  $\supset$   $|\gamma(p_1) - \gamma(q_1)| \leq Y$ )

okay_Reading_defn_rl: Lemma
  (  $\forall p_1, q_1 :$ 
    correct(p1, t)  $\wedge$  correct(q1, t)  $\supset$   $|\gamma(p_1) - \gamma(q_1)| \leq Y$ )
   $\supset$  okay_Reading( $\gamma, Y, t$ )

okay_Readvars_defn_lr: Lemma
  okay_Readvars( $\gamma, \theta, X, t$ )
   $\supset$  (  $\forall p_3 : \text{correct}(p_3, t) \supset |\gamma(p_3) - \theta(p_3)| \leq X$ )

```

okay\_Readvars\_defn\_rl: Lemma

$(\forall p_3 : \text{correct}(p_3, t) \supset |\gamma(p_3) - \theta(p_3)| \leq X)$   
 $\supset \text{okay_Readvars}(\gamma, \theta, X, t)$

accuracy\_preservation\_ax: Axiom

okay\_Readpred( $\gamma, X, \text{ppred}$ )  
 $\wedge \text{count}(\text{ppred}, N) \geq N - F \wedge \text{ppred}(p) \wedge \text{ppred}(q)$   
 $\supset |\text{cfn}(p, \gamma) - \gamma(q)| \leq \alpha(X)$

Proof

okay\_Reading\_defn\_rl\_pr: Prove

okay\_Reading\_defn\_rl  $\{p_1 = p_1 @ P1S, q_1 = q_1 @ P1S\}$  from  
okay\_Reading

okay\_Reading\_defn\_lr\_pr: Prove okay\_Reading\_defn\_lr from

okay\_Reading  $\{p_1 = p_1 @ CS, q_1 = q_1 @ CS\}$

okay\_Readvars\_defn\_rl\_pr: Prove

okay\_Readvars\_defn\_rl  $\{p_3 = p_3 @ P1S\}$  from okay\_Readvars

okay\_Readvars\_defn\_lr\_pr: Prove okay\_Readvars\_defn\_lr from

okay\_Readvars  $\{p_3 = p_3 @ CS\}$

precision\_enhancement\_pr: Prove precision\_enhancement from

precision\_enhancement\_ax

$\{\text{ppred} = (\lambda q : \text{correct}(q, t_p^{i+1}))\},$   
okay\_Readpred\_Reading  $\{t = t_p^{i+1}\},$   
okay\_Readpred\_Reading  $\{t = t_p^{i+1}, \gamma = \theta\},$   
okay\_pairs\_Readvars  $\{t = t_p^{i+1}\},$   
correct\_count  $\{t = t_p^{i+1}\}$

okay\_Readpred\_Reading\_pr: Prove okay\_Readpred\_Reading from

okay\_Readpred  $\{\text{ppred} = (\lambda p : \text{correct}(p, t))\},$   
okay\_Reading  $\{p_1 = l @ P1S, q_1 = m @ P1S\}$

okay\_pairs\_Readvars\_pr: Prove okay\_pairs\_Readvars from

okay\_pairs  $\{\text{ppred} = (\lambda p : \text{correct}(p, t))\},$   
okay\_Readvars  $\{p_3 = p_3 @ P1S\}$

rts\_0\_proof: Prove rts\_0 from rts0  $\{t = t_p^{i+1}\}$

**rts\_1\_proof: Prove rts\_1 from rts1 { $t = t_p^{i+1}$ }**

**End clockassumptions**

countmod: Module

Exporting all

Theory

$i_1$ : Var int

posint: Type from nat with  $(\lambda i_1 : i_1 > 0)$

$l, m, n, p, q, p_1, p_2, q_1, q_2, p_3, q_3$ : Var nat

$i, j, k$ : Var nat

$x, y, z, r, s, t$ : Var number

$X, Y, Z$ : Var number

ppred, ppred1, ppred2: Var function[nat — bool]

$\vartheta, \theta, \gamma$ : Var function[nat — number]

countsize: function[function[nat — bool], nat — nat] =

$(\lambda \text{ppred}, i : i)$

count: Recursive function[function[nat — bool], nat — nat] =

$(\lambda \text{ppred}, i :$

$(\text{if } i > 0$

$\text{then (if ppred}(i - 1)$

$\text{then } 1 + (\text{count(ppred}, i - 1))$

$\text{else count(ppred}, i - 1)$

$\text{end if)}$

$\text{else 0}$

$\text{end if})$

by countsize

count\_complement: Lemma

$\text{count}((\lambda q : \neg \text{ppred}(q)), n) = n - \text{count(ppred}, n)$

count\_exists: Lemma

$\text{count(ppred}, n) > 0 \supset (\exists p : p < n \wedge \text{ppred}(p))$

count\_true: Lemma  $\text{count}((\lambda p : \text{true}), n) = n$

count\_false: Lemma  $\text{count}((\lambda p : \text{false}), n) = 0$

count\_bounded\_imp: Lemma

$\text{count}((\lambda p : p < n \supset \text{ppred}(p)), n) = \text{count(ppred}, n)$

count\_bounded\_and: Lemma

$\text{count}((\lambda p : p < n \wedge \text{ppred}(p)), n) = \text{count(ppred}, n)$

```

pigeon_hole: Lemma
  count(ppred1, n) + count(ppred2, n) ≥ n + k
  ⊢ count((λ p : ppred1(p) ∧ ppred2(p)), n) ≥ k

pred1, pred2: Var function[nat — bool]

pred_extensionality: Axiom
  ( ∀ p : pred1(p) = pred2(p)) ⊢ pred1 = pred2

nk_type: Type = Record n : nat,
          k : nat
          end record

nk, nk1, nk2: Var nk_type
nk_less: function[nk_type, nk_type — bool] ==
  ( λ nk1, nk2 : nk1.n + nk1.k < nk2.n + nk2.k)

```

## Proof

### Using natinduction, noetherian

```

count_bounded_imp0: Lemma
  k ≥ 0 ⊢ count((λ p : p < k ⊢ ppred(p)), 0) = count(ppred, 0)

count_bounded_imp_ind: Lemma
  (k ≥ n ⊢ count((λ p : p < k ⊢ ppred(p)), n)
   = count(ppred, n))
  ⊢ (k ≥ n + 1
   ⊢ count((λ p : p < k ⊢ ppred(p)), n + 1)
   = count(ppred, n + 1))

count_bounded_imp_k: Lemma
  (k ≥ n ⊢ count((λ p : p < k ⊢ ppred(p)), n)
   = count(ppred, n))

count_bounded_imp0_pr: Prove count_bounded_imp0 from
  count {i = 0},
  count {ppred — (λ p : p < k ⊢ ppred(p)), i = 0}

count_bounded_imp_ind_pr: Prove count_bounded_imp_ind from
  count {i = n + 1},
  count {ppred — (λ p : p < k ⊢ ppred(p)), i = n + 1}

```

**count\_bounded\_imp\_k\_pr: Prove count\_bounded\_imp\_k from**  
**induction**  
**{prop**  
 $\vdash (\lambda n : k \geq n \supset \text{count}((\lambda p : p < k \supset \text{ppred}(p)), n) = \text{count}(\text{ppred}, n)),$   
 $i = n\}$ ,  
**count\_bounded\_imp0,**  
**count\_bounded\_imp\_ind {n = j @ p1}**

**count\_bounded\_imp\_pr: Prove count\_bounded\_imp from**  
**count\_bounded\_imp\_k {k = n}**

**count\_bounded\_and0: Lemma**

$$k \geq 0 \supset \text{count}((\lambda p : p < k \wedge \text{ppred}(p)), 0) = \text{count}(\text{ppred}, 0)$$

**count\_bounded\_and\_ind: Lemma**

$$\begin{aligned} (k \geq n \supset \text{count}((\lambda p : p < k \wedge \text{ppred}(p)), n) = \text{count}(\text{ppred}, n)) \\ \supset (k \geq n + 1 \supset \text{count}((\lambda p : p < k \wedge \text{ppred}(p)), n + 1) \\ = \text{count}(\text{ppred}, n + 1)) \end{aligned}$$

**count\_bounded\_and\_k: Lemma**

$$(k \geq n \supset \text{count}((\lambda p : p < k \wedge \text{ppred}(p)), n) = \text{count}(\text{ppred}, n))$$

**count\_bounded\_and0\_pr: Prove count\_bounded\_and0 from**

$$\begin{aligned} \text{count } \{i = 0\}, \\ \text{count } \{\text{ppred} - (\lambda p : p < k \wedge \text{ppred}(p)), i = 0\} \end{aligned}$$

**count\_bounded\_and\_ind\_pr: Prove count\_bounded\_and\_ind from**

$$\begin{aligned} \text{count } \{i = n + 1\}, \\ \text{count } \{\text{ppred} - (\lambda p : p < k \wedge \text{ppred}(p)), i = n + 1\} \end{aligned}$$

```

count_bound_and_k_pr: Prove count_bound_and_k from
  induction
    {prop
      - (λ n :
        k ≥ n
        ⊢ count((λ p : p < k ∧ ppred(p)), n)
        = count(ppred, n)),
      i = n},
    count_bound_and0,
    count_bound_and_ind {n = j @ p1}

count_bound_and_pr: Prove count_bound_and from
  count_bound_and_k {k = n}

count_false_pr: Prove count_false from
  count_true,
  count_complement {ppred = (λ p : true)},
  pred_extensionality
    {pred1 = (λ p : ¬true),
     pred2 = (λ p : false)}

cc0: Lemma count((λ q : ¬ppred(q)), 0) = 0 - count(ppred, 0)

cc_ind: Lemma
  (count((λ q : ¬ppred(q)), n) = n - count(ppred, n))
  ⊢ (count((λ q : ¬ppred(q)), n + 1)
      = n + 1 - count(ppred, n + 1))

cc0_pr: Prove cc0 from
  count {ppred = (λ q : ¬ppred(q)), i = 0},
  count {i = 0}

cc_ind_pr: Prove cc_ind from
  count {ppred = (λ q : ¬ppred(q)), i = n + 1},
  count {i = n + 1}

```

count\_complement\_pr: Prove count\_complement from  
 induction  
 {prop  
 — (  $\lambda n :$   
 count((  $\lambda q : \neg \text{ppred}(q)$ ),  $n$ ) =  $n - \text{count}(\text{ppred}, n)$ ),  
 $i - n$  },  
 cc0,  
 cc\_ind { $n \leftarrow j @ p1$ }

instance: Module is noetherian[nk\_type, nk\_less]

nk\_measure: function[nk\_type — nat] =  
 $( \lambda \text{nk1} : \text{nk1}.n + \text{nk1}.k )$

nk\_well\_founded: Prove well\_founded {measure — nk\_measure}

nk\_ph\_pred: function[function[nat — bool],  
 function[nat — bool], nk\_type — bool] =  
 $( \lambda \text{ppred1}, \text{ppred2}, \text{nk} :$   
 count(ppred1, nk.n) + count(ppred2, nk.n)  $\geq \text{nk}.n + \text{nk}.k$   
 $\supset \text{count}(( \lambda p : \text{ppred1}(p) \wedge \text{ppred2}(p)), \text{nk}.n) \geq \text{nk}.k )$   
 nk\_noeth\_pred: function[function[nat — bool],  
 function[nat — bool], nk\_type  
 — bool] =  
 $( \lambda \text{ppred1}, \text{ppred2}, \text{nk1} :$   
 $( \forall \text{nk2} :$   
 $\text{nk\_less}(\text{nk2}, \text{nk1}) \supset \text{nk\_ph\_pred}(\text{ppred1}, \text{ppred2}, \text{nk2})) )$

ph\_case1: Lemma

count((  $\lambda p : \text{ppred1}(p) \wedge \text{ppred2}(p)$ ), pred( $n$ ))  $\geq k$   
 $\supset \text{count}(( \lambda p : \text{ppred1}(p) \wedge \text{ppred2}(p)), n) \geq k$

ph\_case1\_pr: Prove ph\_case1 from

count {ppred — (  $\lambda p : \text{ppred1}(p) \wedge \text{ppred2}(p)$ ),  $i - n$  }

ph\_case2: Lemma

count(ppred1, pred( $n$ )) + count(ppred2, pred( $n$ ))  $< \text{pred}(n) + k$   
 $\wedge \text{count}(\text{ppred1}, n) + \text{count}(\text{ppred2}, n) \geq n + k$   
 $\wedge \text{count}(( \lambda p : \text{ppred1}(p) \wedge \text{ppred2}(p)), \text{pred}(n)) \geq \text{pred}(k)$   
 $\supset \text{count}(( \lambda p : \text{ppred1}(p) \wedge \text{ppred2}(p)), n) \geq k$

```

ph_case2a: Lemma
   $\text{count}(\text{ppred1}.\text{pred}(n)) + \text{count}(\text{ppred2}.\text{pred}(n)) < \text{pred}(n) + k$ 
   $\wedge \text{count}(\text{ppred1}.n) + \text{count}(\text{ppred2}.n) \geq n + k$ 
   $\supset \text{ppred1}(\text{pred}(n)) \wedge \text{ppred2}(\text{pred}(n))$ 

ph_case2b: Lemma
   $n > 0 \wedge k > 0$ 
   $\wedge \text{count}(\text{ppred1}.\text{pred}(n)) + \text{count}(\text{ppred2}.\text{pred}(n))$ 
   $< \text{pred}(n) + k$ 
   $\wedge \text{count}(\text{ppred1}.n) + \text{count}(\text{ppred2}.n) \geq n + k$ 
   $\supset \text{count}(\text{ppred1}.\text{pred}(n)) + \text{count}(\text{ppred2}.\text{pred}(n))$ 
   $\geq \text{pred}(n) + \text{pred}(k)$ 

ph_case2a_pr: Prove ph_case2a from
   $\text{count}\{\text{ppred} = \text{ppred1}, i = n\},$ 
   $\text{count}\{\text{ppred} = \text{ppred2}, i = n\}$ 

ph_case2b_pr: Prove ph_case2b from
   $\text{count}\{\text{ppred} = \text{ppred1}, i = n\},$ 
   $\text{count}\{\text{ppred} = \text{ppred2}, i = n\}$ 

ph_case2_pr: Prove ph_case2 from
   $\text{count}\{\text{ppred} = (\lambda p : \text{ppred1}(p) \wedge \text{ppred2}(p)), i = n\},$ 
  ph_case2a

ph_case0: Lemma
   $(n = 0 \vee k = 0)$ 
   $\supset (\text{count}(\text{ppred1}, n) + \text{count}(\text{ppred2}, n) \geq n + k)$ 
   $\supset \text{count}((\lambda p : \text{ppred1}(p) \wedge \text{ppred2}(p)), n) \geq k)$ 

ph_case0n: Lemma
   $(\text{count}(\text{ppred1}, 0) + \text{count}(\text{ppred2}, 0) \geq k)$ 
   $\supset \text{count}((\lambda p : \text{ppred1}(p) \wedge \text{ppred2}(p)), 0) \geq k)$ 

ph_case0n_pr: Prove ph_case0n from
   $\text{count}\{\text{ppred} = \text{ppred1}, i = 0\},$ 
   $\text{count}\{\text{ppred} = \text{ppred2}, i = 0\},$ 
   $\text{count}\{\text{ppred} = (\lambda p : \text{ppred1}(p) \wedge \text{ppred2}(p)), i = 0\}$ 

ph_case0k: Lemma  $\text{count}((\lambda p : \text{ppred1}(p) \wedge \text{ppred2}(p)), n) \geq 0$ 

```

**ph\_case0k\_pr:** Prove ph\_case0k from  
**nat\_invariant**  
 $\{\text{nat\_var} = \text{count}((\lambda p : \text{ppred1}(p) \wedge \text{ppred2}(p)), n)\}$

**ph\_case0\_pr:** Prove ph\_case0 from ph\_case0n, ph\_case0k

**nk\_ph\_expand:** Lemma  
 $(\forall n, k : \text{count}(\text{ppred1}.\text{pred}(n)) + \text{count}(\text{ppred2}.\text{pred}(n)) \geq \text{pred}(n) + \text{pred}(k))$   
 $\supset \text{count}((\lambda p : \text{ppred1}(p) \wedge \text{ppred2}(p)), \text{pred}(n)) \geq \text{pred}(k)$   
 $\wedge (\text{count}(\text{ppred1}.\text{pred}(n)) + \text{count}(\text{ppred2}.\text{pred}(n)) \geq \text{pred}(n) + k)$   
 $\supset \text{count}((\lambda p : \text{ppred1}(p) \wedge \text{ppred2}(p)), \text{pred}(n)) \geq k)$   
 $\supset (\text{count}(\text{ppred1}, n) + \text{count}(\text{ppred2}, n) \geq n + k)$   
 $\supset \text{count}((\lambda p : \text{ppred1}(p) \wedge \text{ppred2}(p)), n) \geq k))$

**nk\_ph\_expand\_pr:** Prove nk\_ph\_expand from  
**ph\_case0, ph\_case1, ph\_case2, ph\_case2a, ph\_case2b**

**nk\_ph\_noeth\_hyp:** Lemma  
 $(\forall \text{nk1} : \text{nk\_noeth\_pred}(\text{ppred1}, \text{ppred2}, \text{nk1}))$   
 $\supset \text{nk\_ph\_pred}(\text{ppred1}, \text{ppred2}, \text{nk1}))$

**nk\_ph\_noeth\_hyp\_pr:** Prove nk\_ph\_noeth\_hyp from  
**nk\_ph\_pred {nk = nk1},**  
**nk\_noeth\_pred {nk2 = nk1 with [(n) := pred(nk1.n)]},**  
**nk\_noeth\_pred**  
 $\{\text{nk2} = \text{nk1 with } [(n) := \text{pred}(\text{nk1.n})], (k) := \text{pred}(\text{nk1.k})\}$ ,  
**nk\_ph\_pred {nk = nk1 with [(n) := pred(nk1.n)]},**  
**nk\_ph\_pred**  
 $\{\text{nk} = \text{nk1 with } [(n) := \text{pred}(\text{nk1.n})], (k) := \text{pred}(\text{nk1.k})\}$ ,  
**nk\_ph\_expand {n = nk1.n, k = nk1.k},**  
**ph\_case0 {n = nk1.n, k = nk1.k},**  
**nat\_invariant {nat\_var  $\leftarrow$  nk1.n},**  
**nat\_invariant {nat\_var = nk1.k}**

```

nk_ph_lem: Lemma nk_ph_pred(ppred1, ppred2, nk)

nk_ph_lem_pr: Prove nk_ph_lem from
  general_induction
    {p = (λ nk : nk_ph_pred(ppred1, ppred2, nk)),
     d2 = nk2@p3,
     d = nk@c},
    nk_ph_noeth_hyp {nk1 = d1@p1},
    nk_noeth_pred {nk1 = d1@p1}

pigeon_hole_pr: Prove pigeon_hole from
  nk_ph_lem {nk = nk with [(n) := n@c, (k) := k@c]},
  nk_ph_pred {nk = nk@p1}

exists_less: function[function[nat → bool], nat → bool] =
  (λ ppred, n : (exists p : p < n ∧ ppred(p)))

count_exists_base: Lemma
  count(ppred, 0) > 0 ⊢ exists_less(ppred, 0)

count_exists_base_pr: Prove count_exists_base from
  count {i = 0}, exists_less {n = 0}

count_exists_ind: Lemma
  (count(ppred, n) > 0 ⊢ exists_less(ppred, n))
  ⊢ (count(ppred, n + 1) > 0 ⊢ exists_less(ppred, n + 1))

count_exists_ind_pr: Prove count_exists_ind from
  count {i = n + 1},
  exists_less,
  exists_less
    {n = n + 1,
     p = (if ppred(n) then n else p@p2 end if)}

```

**count\_exists\_pr:** Prove  $\text{count\_exists } \{p = p \wedge p\}$  from  
 induction  
 {prop  
 $\vdash (\lambda n : \text{count}(\text{ppred}, n) > 0 \supset \text{exists\_less}(\text{ppred}, n)),$   
 $i = n @ c\},$   
**count\_exists\_base,**  
**count\_exists\_ind**  $\{n = j @ p1\},$   
**exists\_less**  $\{n = i @ p1\}$

**count\_base:** Sublemma  $\text{count}(\text{ppred}, 0) = 0$

**count\_base\_pr:** Prove **count\_base** from  $\{i = 0\}$

**count\_true\_ind:** Sublemma  
 $(\text{count}((\lambda p : \text{true}), n) = n)$   
 $\supset \text{count}((\lambda p : \text{true}), n + 1) = n + 1$

**count\_true\_ind\_pr:** Prove **count\_true\_ind** from  
 $\text{count } \{\text{ppred} = (\lambda p : \text{true}), i = n + 1\}$

**count\_true\_pr:** Prove **count\_true** from  
 induction  
 {prop  $\vdash (\lambda n : \text{count}((\lambda p : \text{true}), n) = n),$   
 $i = n @ c\},$   
**count\_base**  $\{\text{ppred} = (\lambda p : \text{true})\},$   
**count\_true\_ind**  $\{n = j @ p1\}$

End countmod

## natinduction: Module

### Theory

$i, j, m, m_1, n: \text{Var nat}$   
 $p, \text{prop}: \text{Var function[nat} \rightarrow \text{bool}]$

#### induction: Theorem

$(\text{prop}(0) \wedge (\forall j : \text{prop}(j) \supset \text{prop}(j + 1))) \supset \text{prop}(i)$

#### complete\_induction: Theorem

$(\forall i : (\forall j : j < i \supset p(j)) \supset p(i)) \supset (\forall n : p(n))$

#### induction\_m: Theorem

$p(m) \wedge (\forall i : i \geq m \wedge p(i) \supset p(i + 1))$   
 $\supset (\forall n : n \geq m \supset p(n))$

#### limited\_induction: Theorem

$(m \leq m_1 \supset p(m)) \wedge (\forall i : i \geq m \wedge i < m_1 \wedge p(i) \supset p(i + 1))$   
 $\supset (\forall n : n \geq m \wedge n \leq m_1 \supset p(n))$

### Proof

#### Using noetherian

`less: function[nat, nat  $\rightarrow$  bool] == (  $\lambda m, n : m < n$  )`

`instance: Module is noetherian[nat, less]`

`x: Var nat`

`identity: function[nat  $\rightarrow$  nat] == (  $\lambda n : n$  )`

`discharge: Prove well_founded {measure  $\rightarrow$  identity}`

`complete_ind_pr: Prove complete_induction {i = d1 @ p1} from`  
`general_induction {d = n, d2 = j}`

`ind_proof: Prove induction {j = pred(d1 @ p1)} from`  
`general_induction {p = prop, d = i, d2 = j}`

**ind\_m\_proof:** **Prove** induction\_m  $\{i = j \wedge p1 + m\}$  **from**  
induction

{prop — (  $\lambda x : p @ c(x + m)$  ),  
 $i = \text{if } n \geq m \text{ then } n - m \text{ else } 0 \text{ end if}$  }

**limited\_proof:** **Prove** limited\_induction  $\{i = j \wedge p1\}$  **from**  
induction\_m  $\{p = (\lambda x : x \leq m_1 \supset p @ c(x))\}$

**End natinduction**

**division: Module**

**Using multiplication, absmod**

**Exporting all**

**Theory**

$x, y, z, x_1, y_1, z_1, x_2, y_2, z_2$ : Var number  
[★1]: function[number — int]

**ceil\_defn: Axiom**  $\lceil x \rceil \geq x \wedge \lceil x \rceil - 1 < x$

**mult\_div\_1: Axiom**  $z \neq 0 \supset x * y/z = x * (y/z)$

**mult\_div\_2: Axiom**  $z \neq 0 \supset x * y/z = (x/z) * y$

**mult\_div\_3: Axiom**  $z \neq 0 \supset (z/z) = 1$

**mult\_div: Lemma**  $y \neq 0 \supset (x/y) * y = x$

**div\_cancel: Lemma**  $x \neq 0 \supset x * y/x = y$

**div\_distrib: Lemma**  $z \neq 0 \supset ((x + y)/z) = (x/z) + (y/z)$

**ceil\_mult\_div: Lemma**  $y > 0 \supset \lceil x/y \rceil * y \geq x$

**ceil\_plus\_mult\_div: Lemma**  $y > 0 \supset \lceil x/y \rceil + 1 * y > x$

**div\_nonnegative: Lemma**  $x \geq 0 \wedge y > 0 \supset (x/y) \geq 0$

**div\_minus\_distrib: Lemma**

$z \neq 0 \supset (x - y)/z = (x/z) - (y/z)$

**div\_ineq: Lemma**  $z > 0 \wedge x \leq y \supset (x/z) \leq (y/z)$

**abs\_div: Lemma**  $y > 0 \supset |x/y| = |x|/y$

**mult\_minus: Lemma**  $y \neq 0 \supset -(x/y) = (-x/y)$

**div\_minus\_1: Lemma**  $y > 0 \wedge x < 0 \supset (x/y) < 0$

**Proof**

**div\_nonnegative\_pr:** Prove div\_nonnegative from  
mult\_non\_neg { $x - (\text{if } y \neq 0 \text{ then } (x/y) \text{ else } 0 \text{ end if})$ },  
mult\_div

**div\_distrib\_pr:** Prove div\_distrib from  
mult\_div\_1 { $x - x + y, y - 1, z - z$ },  
mult\_rident { $x - x + y$ },  
mult\_div\_1 { $x - x, y - 1, z - z$ },  
mult\_rident,  
mult\_div\_1 { $x - y, y - 1, z - z$ },  
mult\_rident { $x - y$ },  
distrib { $z - (\text{if } z \neq 0 \text{ then } (1/z) \text{ else } 0 \text{ end if})$ }

**div\_cancel\_pr:** Prove div\_cancel from  
mult\_div\_2 { $z - x$ },  
mult\_div\_3 { $z - x$ },  
mult\_lident { $x - y$ }

**mult\_div\_pr:** Prove mult\_div from  
mult\_div\_2 { $z - y$ },  
mult\_div\_1 { $z - y$ },  
mult\_div\_3 { $z - y$ },  
mult\_rident

**abs\_div\_pr:** Prove abs\_div from  
| \* 1| { $x - (\text{if } y \neq 0 \text{ then } (x/y) \text{ else } 0 \text{ end if})$ },  
| \* 1|,  
div\_nonnegative,  
div\_minus\_1,  
mult\_minus

**mult\_minus\_pr:** Prove mult\_minus from  
mult\_div\_1 { $x - -1, y - x, z - y$ },  
\*1\*\*2 { $x - -1, y - x$ },  
\*1\*\*2  
{ $x - -1,$   
 $y - (\text{if } y \neq 0 \text{ then } (x/y) \text{ else } 1 \text{ end if})$ }

```

div_minus_1_pr: Prove div_minus_1 from
  mult_div,
  pos_product
  {x - ( if y ≠ 0 then (x/y) else 0 end if),
   y - y}

div_minus_distrib_pr: Prove div_minus_distrib from
  div_distrib {y - -y}, mult_minus {x - y, y - z}

div_ineq_pr: Prove div_ineq from
  mult_div {y - z},
  mult_div {x - y, y - z},
  mult_gt
  {x - ( if z ≠ 0 then (x/z) else 0 end if),
   y - ( if z ≠ 0 then (y/z) else 0 end if) }

ceil_plus_mult_div_proof: Prove ceil_plus_mult_div from
  ceil_mult_div,
  distrib
  {x - [( if y ≠ 0 then (x/y) else 0 end if)],
   y - 1,
   z - y},
  mult_lident {x - y}

ceil_mult_div_proof: Prove ceil_mult_div from
  mult_div,
  mult_leq
  {x - [( if y ≠ 0 then (x/y) else 0 end if)],
   y - ( if y ≠ 0 then (x/y) else 0 end if),
   z - y},
  ceil_defn {x - ( if y ≠ 0 then (x/y) else 0 end if)}

```

End division

**mid\_tcc: Module**

**Using mid**

**Exporting all with mid**

**Theory**

**ft\_mid\_TCC1: Formula ( $F + 1 > 0$ )**

**ft\_mid\_TCC2: Formula ( $N - F \geq 0 \wedge N - F > 0$ )**

**ft\_mid\_TCC3: Formula ( $2 \neq 0$ )**

**Proof**

**ft\_mid\_TCC1\_PROOF: Prove ft\_mid\_TCC1**

**ft\_mid\_TCC2\_PROOF: Prove ft\_mid\_TCC2**

**ft\_mid\_TCC3\_PROOF: Prove ft\_mid\_TCC3**

**End mid\_tcc**

**mid2\_tcc: Module**

**Using mid2**

**Exporting all with mid2**

**Theory**

**ppred: Var function[naturalnumber — boolean]**

**p: Var naturalnumber**

**good\_greater\_F1\_TCC1: Formula**

$(\text{ppred}(p)) \wedge (\text{count}(\text{ppred}, N) \geq N - F) \supset (F + 1 > 0)$

**good\_less\_NF\_TCC1: Formula**

$(\text{ppred}(p)) \wedge (\text{count}(\text{ppred}, N) \geq N - F)$

$\supset (N - F \geq 0) \wedge (N - F > 0)$

**good\_greater\_F1\_pr\_TCC1: Formula** ( $F + 1 > 0$ )

**good\_less\_NF\_pr\_TCC1: Formula** ( $N - F \geq 0 \wedge N - F > 0$ )

**Proof**

**good\_greater\_F1\_TCC1.PROOF: Prove good\_greater\_F1\_TCC1**

**good\_less\_NF\_TCC1.PROOF: Prove good\_less\_NF\_TCC1**

**good\_greater\_F1\_pr\_TCC1.PROOF: Prove good\_greater\_F1\_pr\_TCC1**

**good\_less\_NF\_pr\_TCC1.PROOF: Prove good\_less\_NF\_pr\_TCC1**

**End mid2\_tcc**

mid3\_tcc: Module

Using mid3

Exporting all with mid3

Theory

$X$ : Var number

$Z$ : Var number

$\text{ppred}$ : Var function[naturalnumber — boolean]

$k$ : Var countmod.posint

$\text{ppred2}$ : Var function[naturalnumber — boolean]

$\text{ppred1}$ : Var function[naturalnumber — boolean]

$\theta$ : Var function[naturalnumber — number]

$\gamma$ : Var function[naturalnumber — number]

$q$ : Var naturalnumber

$p_3$ : Var naturalnumber

$p_1$ : Var naturalnumber

$p$ : Var naturalnumber

$q_1$ : Var naturalnumber

$\text{ft\_mid\_Pi\_TCC1}$ : Formula ( $2 \neq 0$ )

$\text{good\_geq\_F\_add1\_TCC1}$ : Formula

$(\text{ppred}(p)) \wedge (\text{count}(\text{ppred}, N) \geq N - F) \supset (F + 1 > 0)$

$\text{okay\_pair\_geq\_F\_add1\_TCC1}$ : Formula

$(\text{ppred}(p_1))$

$\wedge (\text{count}(\text{ppred}, N) \geq N - F \wedge \text{okay\_pairs}(\theta, \gamma, X, \text{ppred}))$

$\supset (F + 1 > 0)$

$\text{okay\_pair\_geq\_F\_add1\_TCC2}$ : Formula

$(\text{ppred}(q_1))$

$\wedge (\bar{\theta}(p_1) \geq \bar{\theta}_{(F+1)})$

$\wedge (\text{ppred}(p_1))$

$\wedge (\text{count}(\text{ppred}, N) \geq N - F)$

$\wedge \text{okay\_pairs}(\theta, \gamma, X, \text{ppred}))$

$\supset (F + 1 > 0)$

**good\_between\_TCC1: Formula**  
 $(\gamma_{(F+1)} \geq \gamma(p) \wedge (\text{ppred}(p)) \wedge (\text{count}(\text{ppred}, N) \geq N - F))$   
 $\supset (N - F \geq 0) \wedge (N - F > 0)$

**ft\_mid\_prec\_sym1\_TCC1: Formula**  
 $(\text{okay\_Readpred}(\gamma, Z, \text{ppred}))$   
 $\wedge (\text{okay\_Readpred}(\theta, Z, \text{ppred}))$   
 $\wedge (\text{okay\_pairs}(\theta, \gamma, X, \text{ppred}))$   
 $\wedge (\text{count}(\text{ppred}, N) \geq N - F)$   
 $\supset (F + 1 > 0)$

**ft\_mid\_prec\_sym1\_TCC2: Formula**  
 $(\text{okay\_Readpred}(\gamma, Z, \text{ppred}))$   
 $\wedge (\text{okay\_Readpred}(\theta, Z, \text{ppred}))$   
 $\wedge (\text{okay\_pairs}(\theta, \gamma, X, \text{ppred}))$   
 $\wedge (\text{count}(\text{ppred}, N) \geq N - F)$   
 $\supset (N - F \geq 0) \wedge (N - F > 0)$

**ft\_mid\_prec\_sym1\_TCC3: Formula**  
 $(\text{count}(\text{ppred}, N) \geq N - F)$   
 $\wedge \text{okay\_pairs}(\theta, \gamma, X, \text{ppred})$   
 $\wedge \text{okay\_Readpred}(\theta, Z, \text{ppred})$   
 $\wedge \text{okay\_Readpred}(\gamma, Z, \text{ppred})$   
 $\wedge ((\theta_{(F+1)} + \theta_{(N-F)})$   
 $\geq (\gamma_{(F+1)} + \gamma_{(N-F)})))$   
 $\supset (F + 1 > 0)$

**ft\_mid\_prec\_sym1\_TCC4: Formula**  
 $(\text{count}(\text{ppred}, N) \geq N - F)$   
 $\wedge \text{okay\_pairs}(\theta, \gamma, X, \text{ppred})$   
 $\wedge \text{okay\_Readpred}(\theta, Z, \text{ppred})$   
 $\wedge \text{okay\_Readpred}(\gamma, Z, \text{ppred})$   
 $\wedge ((\theta_{(F+1)} + \theta_{(N-F)})$   
 $\geq (\gamma_{(F+1)} + \gamma_{(N-F)})))$   
 $\supset (N - F \geq 0) \wedge (N - F > 0)$

**mid\_gt\_imp\_sel\_gt\_TCC1: Formula**  
 $((\text{cfn}_{MID}(p, \theta) \geq \text{cfn}_{MID}(q, \gamma))) \supset (F + 1 > 0)$

```

mid_gt_imp_sel_gt_TCC2: Formula
  ((cfnMID(p, θ) ≥ cfnMID(q, γ)))
    ⊃ (N - F ≥ 0) ∧ (N - F > 0)

ft_mid_prec_sym1_pr_TCC1: Formula (F + 1 > 0)

ft_mid_prec_sym1_pr_TCC2: Formula (N - F ≥ 0) ∧ (N - F > 0)

```

### Proof

```

ft_mid_Pi_TCC1.PROOF: Prove ft_mid_Pi_TCC1
good_geq_F_add1_TCC1.PROOF: Prove good_geq_F_add1_TCC1
okay_pair_geq_F_add1_TCC1.PROOF: Prove
  okay_pair_geq_F_add1_TCC1
okay_pair_geq_F_add1_TCC2.PROOF: Prove
  okay_pair_geq_F_add1_TCC2
good_between_TCC1.PROOF: Prove good_between_TCC1
ft_mid_prec_sym1_TCC1.PROOF: Prove ft_mid_prec_sym1_TCC1
ft_mid_prec_sym1_TCC2.PROOF: Prove ft_mid_prec_sym1_TCC2
ft_mid_prec_sym1_TCC3.PROOF: Prove ft_mid_prec_sym1_TCC3
ft_mid_prec_sym1_TCC4.PROOF: Prove ft_mid_prec_sym1_TCC4
mid_gt_imp_sel_gt_TCC1.PROOF: Prove mid_gt_imp_sel_gt_TCC1
mid_gt_imp_sel_gt_TCC2.PROOF: Prove mid_gt_imp_sel_gt_TCC2
ft_mid_prec_sym1_pr_TCC1.PROOF: Prove ft_mid_prec_sym1_pr_TCC1
ft_mid_prec_sym1_pr_TCC2.PROOF: Prove ft_mid_prec_sym1_pr_TCC2

```

End mid3\_tcc

**mid4\_tcc: Module**

**Using mid4**

**Exporting all with mid4**

**Theory**

*q*: Var naturalnumber

*p*: Var naturalnumber

*y*: Var number

*x*: Var number

*p*<sub>1</sub>: Var naturalnumber

**ft\_mid\_less\_TCC1: Formula** ( $F + 1 > 0$ )

**ft\_mid\_greater\_TCC1: Formula** ( $N - F \geq 0 \wedge N - F > 0$ )

**Proof**

**ft\_mid\_less\_TCC1\_PROOF: Prove** ft\_mid\_less\_TCC1

**ft\_mid\_greater\_TCC1\_PROOF: Prove** ft\_mid\_greater\_TCC1

**End mid4\_tcc**

mid: Module

Using arith, clockassumptions, select\_defs, ft\_mid\_assume

Exporting all with select\_defs

Theory

process: Type is nat

Clocktime: Type is number

$l, m, n, p, q$ : Var process

$\vartheta$ : Var function[process — Clocktime]

$i, j, k$ : Var posit

$T, X, Y, Z$ : Var Clocktime

$cfn_{MID}$ : function[process.function[process — Clocktime]  
— Clocktime] =

$(\lambda p, \vartheta : (\vartheta_{(F+1)} + \vartheta_{(N-F)})/2)$

ft\_mid\_trans\_inv: Lemma

$cfn_{MID}(p, (\lambda q : \vartheta(q) + X)) = cfn_{MID}(p, \vartheta) + X$

Proof

add\_assoc\_hack: Lemma  $X + Y + Z + Y = (X + Z) + 2 * Y$

add\_assoc\_hack\_pr: Prove add\_assoc\_hack from

$\star 1 \star 2 \{x = 2, y = Y\}$

**ft\_mid\_trans\_inv\_pr: Prove ft\_mid\_trans\_inv from**  
 $cfn_{MID}$ ;  
 $cfn_{MID} \{ \vartheta = (\lambda q : \vartheta(q) + X) \},$   
 $\text{select\_trans\_inv } \{k = F + 1\},$   
 $\text{select\_trans\_inv } \{k = N - F\},$   
 $\text{add\_assoc\_hack}$   
 $\{X = \vartheta_{(F+1)},$   
 $Z = \vartheta_{(N-F)},$   
 $Y = X\},$   
 $\text{div\_distrib}$   
 $\{x = (\vartheta_{(F+1)} + \vartheta_{(N-F)}),$   
 $y = 2 * X,$   
 $z = 2\},$   
 $\text{div\_cancel } \{x = 2, y = X\},$   
 $\text{ft\_mid\_maxfaults}$

**End mid**

mid2: Module

Using arith, clockassumptions, mid

Exporting all with mid

Theory

Clocktime: Type is number

$m, n, p, q, p_1, q_1$ : Var process

$i, j, k, l$ : Var posint

$x, y, z, r, s, t$ : Var time

$D, X, Y, Z, R, S, T$ : Var Clocktime

$\vartheta, \theta, \gamma$ : Var function[process — Clocktime]

ppred, ppred1, ppred2: Var function[process — bool]

good\_greater\_F1: Lemma

count(ppred, N)  $\geq N - F \supset (\exists p : \text{ppred}(p) \wedge \vartheta(p) \geq \vartheta_{(F+1)})$

good\_less\_NF: Lemma

count(ppred, N)  $\geq N - F \supset (\exists p : \text{ppred}(p) \wedge \vartheta(p) \leq \vartheta_{(N-F)})$

Proof

good\_greater\_F1\_pr: Prove good\_greater\_F1  $\{p = p @ p3\}$  from

count\_geq\_select  $\{k = F + 1\}$ ,

ft\_mid\_maxfaults,

count\_exists

$\{\text{ppred} = (\lambda p_1 : \text{ppred1} @ p4(p_1) \wedge \text{ppred2} @ p4(p_1)),$   
 $n = N\},$

pigeon\_hole

$\{\text{ppred1} = \text{ppred},$   
 $\text{ppred2} = (\lambda p_1 : \vartheta(p_1) \geq \vartheta_{(F+1)}),$   
 $n = N,$

$k = 1\}$

```

good_less_NF_pr: Prove good_less_NF {p = p@p3} from
count_leq_select {k = N - F},
ft_mid_maxfaults,
count_exists
  {ppred = ( $\lambda p_1 : \text{ppred1}@p4(p_1) \wedge \text{ppred2}@p4(p_1))$ ,
    $n = N$ ,
pigeon_hole
  {ppred1 = ppred,
   ppred2 = ( $\lambda p_1 : \vartheta_{(N-F)} \geq \vartheta(p_1))$ ,
    $n = N$ ,
    $k = 1$ }

```

**End mid2**

### mid3: Module

Using arith. clockassumptions. mid2

Exporting all with mid2

### Theory

**Clocktime: Type is number**

$m, n, p, q, p_1, q_1$ : Var process

$i, j, k, l$ : Var posint

$x, y, z, r, s, t$ : Var time

$D, X, Y, Z, R, S, T$ : Var Clocktime

$\vartheta, \theta, \gamma$ : Var function[process — Clocktime]

$\text{ppred}, \text{ppred1}, \text{ppred2}$ : Var function[process — bool]

$\text{ft\_mid\_Pi}$ : function[Clocktime, Clocktime — Clocktime] ==

$(\lambda X, Z : Z/2 + X)$

**exchange\_order: Lemma**

$\text{ppred}(p)$

$\wedge \text{ppred}(q)$

$\wedge \theta(q) \leq \theta(p)$

$\wedge \gamma(p) \leq \gamma(q) \wedge \text{okay\_pairs}(\theta, \gamma, X, \text{ppred})$

$\supset |\theta(p) - \gamma(q)| \leq X$

**good\_geq\_F\_add1: Lemma**

$\text{count}(\text{ppred}, N) \geq N - F \supset (\exists p : \text{ppred}(p) \wedge \vartheta(p) \geq \vartheta_{(F+1)})$

**okay\_pair\_geq\_F\_add1: Lemma**

$\text{count}(\text{ppred}, N) \geq N - F \wedge \text{okay\_pairs}(\theta, \gamma, X, \text{ppred})$

$\supset (\exists p_1, q_1 :$

$\text{ppred}(p_1)$

$\wedge \theta(p_1) \geq \theta_{(F+1)}$

$\wedge \text{ppred}(q_1)$

$\wedge \gamma(q_1) \geq \gamma_{(F+1)} \wedge |\theta(p_1) - \gamma(q_1)| \leq X)$

**good\_between: Lemma**

$\text{count}(\text{ppred}, N) \geq N - F$

$\supset (\exists p : \text{ppred}(p) \wedge \gamma_{(F+1)} \geq \gamma(p) \wedge \theta(p) \geq \theta_{(N-F)})$

**ft\_mid\_precision\_enhancement: Lemma**

$$\begin{aligned}
 & \text{ppred}(p) \\
 & \wedge \text{ppred}(q) \\
 & \wedge \text{count}(\text{ppred}, N) \geq N - F \\
 & \wedge \text{okay_pairs}(\theta, \gamma, X, \text{ppred}) \\
 & \wedge \text{okay_Readpred}(\theta, Z, \text{ppred}) \\
 & \wedge \text{okay_Readpred}(\gamma, Z, \text{ppred}) \\
 \supset & |cfn_{MID}(p, \theta) - cfn_{MID}(q, \gamma)| \leq \text{ft\_mid\_Pi}(X, Z)
 \end{aligned}$$

**ft\_mid\_prec\_enh\_sym: Lemma**

$$\begin{aligned}
 & \text{ppred}(p) \\
 & \wedge \text{ppred}(q) \\
 & \wedge \text{count}(\text{ppred}, N) \geq N - F \\
 & \wedge \text{okay_pairs}(\theta, \gamma, X, \text{ppred}) \\
 & \wedge \text{okay_Readpred}(\theta, Z, \text{ppred}) \\
 & \wedge \text{okay_Readpred}(\gamma, Z, \text{ppred}) \\
 & \wedge (cfn_{MID}(p, \theta) \geq cfn_{MID}(q, \gamma)) \\
 \supset & |cfn_{MID}(p, \theta) - cfn_{MID}(q, \gamma)| \leq \text{ft\_mid\_Pi}(X, Z)
 \end{aligned}$$

**ft\_mid\_prec\_sym1: Lemma**

$$\begin{aligned}
 & \text{count}(\text{ppred}, N) \geq N - F \\
 & \wedge \text{okay_pairs}(\theta, \gamma, X, \text{ppred}) \\
 & \wedge \text{okay_Readpred}(\theta, Z, \text{ppred}) \\
 & \wedge \text{okay_Readpred}(\gamma, Z, \text{ppred}) \\
 & \wedge ((\theta_{(F+1)} + \theta_{(N-F)}) \\
 & \quad \geq (\gamma_{(F+1)} + \gamma_{(N-F)})) \\
 \supset & |(\theta_{(F+1)} + \theta_{(N-F)}) - (\gamma_{(F+1)} + \gamma_{(N-F)})| \\
 & \leq Z + 2 \star X
 \end{aligned}$$

**mid\_gt\_imp\_sel\_gt: Lemma**

$$\begin{aligned}
 & (cfn_{MID}(p, \theta) \geq cfn_{MID}(q, \gamma)) \\
 \supset & ((\theta_{(F+1)} + \theta_{(N-F)}) \geq (\gamma_{(F+1)} + \gamma_{(N-F)}))
 \end{aligned}$$

**okay\_pairs\_sym: Lemma**

$$\text{okay\_pairs}(\theta, \gamma, X, \text{ppred}) \supset \text{okay\_pairs}(\gamma, \theta, X, \text{ppred})$$

**Proof**

**ft\_mid\_prec\_sym1\_pr: Prove ft\_mid\_prec\_sym1 from**  
**good\_between,**  
**okay\_pair\_geq\_F\_add1,**  
**good\_less\_NF { $\vartheta - \gamma$ },**  
**abs\_geq**  
 $\{x = (\gamma(q_1 @ p2) - \gamma(p @ p3)) + (\theta(p @ p1) - \gamma(p @ p1))$   
 $+ (\theta(p_1 @ p2) - \gamma(q_1 @ p2)),$   
 $y$   
 $- (\theta_{(F+1)} + \theta_{(N-F)}) - (\gamma_{(F+1)} + \gamma_{(N-F)})\},$   
**abs\_plus**  
 $\{x = (\gamma(q_1 @ p2) - \gamma(p @ p3)) + (\theta(p @ p1) - \gamma(p @ p1)),$   
 $y = (\theta(p_1 @ p2) - \gamma(q_1 @ p2))\},$   
**abs\_plus**  
 $\{x = (\gamma(q_1 @ p2) - \gamma(p @ p3)),$   
 $y = (\theta(p @ p1) - \gamma(p @ p1))\},$   
**okay\_pairs { $\gamma - \theta, \theta - \gamma, p_3 - p @ p1$ },**  
**okay\_Readpred**  
 $\{\gamma = \gamma,$   
 $Y = Z,$   
 $l = q_1 @ p2,$   
 $m = p @ p3\},$   
**distrib { $x = 1, y = 1, z = X$ }**,  
**mult\_lident { $x = X$ }**

**mid\_gt\_imp\_sel\_gt\_pr: Prove mid\_gt\_imp\_sel\_gt from**

$cfn_{MID} \{\vartheta - \theta\},$   
 $cfn_{MID} \{\vartheta - \gamma, p - q\},$   
**mult\_leq**  
 $\{x = cfn_{MID}(p, \theta),$   
 $y = cfn_{MID}(q, \gamma),$   
 $z = 2\},$   
**mult\_div { $x = (\theta_{(F+1)} + \theta_{(N-F)}), y = 2$ }**,  
**mult\_div { $x = (\gamma_{(F+1)} + \gamma_{(N-F)}), y = 2$ }**

**ft\_mid\_prec\_enh\_sym\_pr:** Prove `ft_mid_prec_enh_sym` from  
 $cfn_{MID}\{\vartheta = \theta\}$ ,  
 $cfn_{MID}\{\vartheta = \gamma, p = q\}$ ,  
`div_minus_distrib`  
 $\{x = (\theta_{(F+1)} + \theta_{(N-F)}),$   
 $y = (\gamma_{(F+1)} + \gamma_{(N-F)}),$   
 $z = 2\}$ ,  
`abs_div`  
 $\{x = (\theta_{(F+1)} + \theta_{(N-F)}) - (\gamma_{(F+1)} + \gamma_{(N-F)}),$   
 $y = 2\}$ ,  
`ft_mid_prec_sym1`,  
`mid_gt_imp_sel_gt`,  
`div_ineq`  
 $\{x = |(\theta_{(F+1)} + \theta_{(N-F)}) - (\gamma_{(F+1)} + \gamma_{(N-F)})|,$   
 $y = Z + 2 \star X,$   
 $z = 2\}$ ,  
`div_distrib`  $\{x = Z, y = 2 \star X, z = 2\}$ ,  
`div_cancel`  $\{x = 2, y = X\}$

**okay\_pairs\_sym\_pr:** Prove `okay_pairs_sym` from  
`okay_pairs`  $\{\gamma = \theta, \theta = \gamma, p_3 = p_3 @ p2\}$ ,  
`okay_pairs`  $\{\gamma = \gamma, \theta = \theta\}$ ,  
`abs_com`  $\{x = \theta(p_3 @ p2), y = \gamma(p_3 @ p2)\}$

**ft\_mid\_precision\_enhancement\_pr:** Prove  
`ft_mid_precision_enhancement` from  
`ft_mid_prec_enh_sym`,  
`ft_mid_prec_enh_sym`  
 $\{p = q @ p1,$   
 $q = p @ p1,$   
 $\theta = \gamma @ p1,$   
 $\gamma = \theta @ p1\}$ ,  
`okay_pairs_sym`,  
`abs_com`  $\{x = cfn_{MID}(p, \theta), y = cfn_{MID}(q, \gamma)\}$

```

okay_pair_geq_F_add1_pr: Prove
  okay_pair_geq_F_add1
    {p1 ← if ( $\theta(p \oplus p2) \geq \theta(p \oplus p1)$ )
     then  $p \oplus p2$ 
     elseif ( $\gamma(p \oplus p1) \geq \gamma(p \oplus p2)$ ) then  $p \oplus p1$  else  $p \oplus p3$ 
     end if,
     q1 ← if ( $\theta(p \oplus p2) \geq \theta(p \oplus p1)$ )
     then  $p \oplus p2$ 
     elseif ( $\gamma(p \oplus p1) \geq \gamma(p \oplus p2)$ ) then  $p \oplus p1$  else  $q \oplus p3$ 
     end if} from
  good_geq_F_add1 { $\vartheta = \theta$ },
  good_geq_F_add1 { $\vartheta = \gamma$ },
  exchange_order { $p = p \oplus p1, q = p \oplus p2$ },
  okay_pairs { $\gamma = \theta, \theta = \gamma, p3 = p \oplus p1$ },
  okay_pairs { $\gamma = \theta, \theta = \gamma, p3 = p \oplus p2$ }

good_geq_F_add1_pr: Prove good_geq_F_add1 { $p = p \oplus p1$ } from
  count_exists
    {ppred ← ( $\lambda p : ((\text{ppred1} \wedge p2)p) \wedge ((\text{ppred2} \wedge p2)p)$ ),
     n ← N},
  pigeon_hole
    {n ← N,
     k ← 1,
     ppred1 ← ppred,
     ppred2 ← ( $\lambda p : \vartheta(p) \geq \vartheta_{((k \wedge p3))}$ )},
  count_geq_select { $k = F + 1$ },
  ft_mid_maxfaults

```

**good\_between\_pr:** Prove  $\text{good\_between } \{p = p \wedge p1\}$  from  
**count\_exists**  
 $\{\text{ppred} = (\lambda p : ((\text{ppred1} \wedge p2)p) \wedge ((\text{ppred2} \wedge p2)p)),$   
 $n = N\},$   
**pigeon\_hole**  
 $\{n = N,$   
 $k = 1,$   
 $\text{ppred1} = (\lambda p : ((\text{ppred1} \wedge p3)p) \wedge ((\text{ppred2} \wedge p3)p)),$   
 $\text{ppred2} = (\lambda p : \theta(p) \geq \theta_{((k \wedge p4))})\},$   
**pigeon\_hole**  
 $\{n = N,$   
 $k = k \wedge p5,$   
 $\text{ppred1} = \text{ppred},$   
 $\text{ppred2} = (\lambda p : \gamma_{((k \wedge p5))} \geq \gamma(p))\},$   
**count\_geq\_select**  $\{\vartheta = \theta, k = N - F\},$   
**count\_leq\_select**  $\{\vartheta = \gamma, k = F + 1\},$   
**ft\_mid\_maxfaults**  
**exchange\_order\_pr:** Prove  $\text{exchange\_order}$  from  
**okay\_pairs**  $\{\gamma = \theta, \theta = \gamma, p3 = p\},$   
**okay\_pairs**  $\{\gamma = \theta, \theta = \gamma, p3 = q\},$   
**abs\_geq**  $\{x = (\theta(p) - \gamma(p)), y = \theta(p) - \gamma(q)\},$   
**abs\_geq**  $\{x = (\gamma(q) - \theta(q)), y = \gamma(q) - \theta(p)\},$   
**abs\_com**  $\{x = \theta(q), y = \gamma(q)\},$   
**abs\_com**  $\{x = \theta(p), y = \gamma(q)\}$

End mid3

## mid4: Module

Using arith.clockassumptions.mid3

Exporting all with clockassumptions.mid3

## Theory

process: Type is nat  
Clocktime: Type is number  
 $m, n, p, q, p_1, q_1$ : Var process  
 $i, j, k$ : Var posint  
 $x, y, z, r, s, t$ : Var time  
 $D, X, Y, Z, R, S, T$ : Var Clocktime  
 $\vartheta, \theta, \gamma$ : Var function[process — Clocktime]  
ppred, ppred1, ppred2: Var function[process — bool]

ft\_mid\_accuracy\_preservation: Lemma

ppred( $p$ )  
 $\wedge$  ppred( $q$ )  
 $\wedge$  count(ppred,  $N$ )  $\geq N - F$   $\wedge$  okay\_Readpred( $\vartheta, X, \text{ppred}$ )  
 $\supset |cfn_{MID}(p, \vartheta) - \vartheta(q)| \leq N$

ft\_mid\_less: Lemma  $cfn_{MID}(p, \vartheta) \leq \vartheta_{(F+1)}$

ft\_mid\_greater: Lemma  $cfn_{MID}(p, \vartheta) \geq \vartheta_{(N-F)}$

abs\_q\_less: Lemma

count(ppred,  $N$ )  $\geq N - F$   
 $\supset (\exists p_1 : \text{ppred}(p_1) \wedge \vartheta(p_1) \leq cfn_{MID}(p, \vartheta))$

abs\_q\_greater: Lemma

count(ppred,  $N$ )  $\geq N - F$   
 $\supset (\exists p_1 : \text{ppred}(p_1) \wedge \vartheta(p_1) \geq cfn_{MID}(p, \vartheta))$

ft\_mid\_bnd\_by\_good: Lemma

count(ppred,  $N$ )  $\geq N - F$   
 $\supset (\exists p_1 : \text{ppred}(p_1) \wedge |cfn_{MID}(p, \vartheta) - \vartheta(q)| \leq |\vartheta(p_1) - \vartheta(q)|)$

maxfaults\_lem: Lemma  $F + 1 \leq N - F$

ft\_select: Lemma  $\vartheta_{(F+1)} \geq \vartheta_{(N-F)}$

### Proof

ft\_select\_pr: Prove ft\_select from

select\_ax  $\{i = F + 1, k = N - F\}$ , maxfaults\_lem

maxfaults\_lem\_pr: Prove maxfaults\_lem from ft\_mid\_maxfaults

ft\_mid\_bnd\_by\_good\_pr: Prove

ft\_mid\_bnd\_by\_good

$\{p_1 = (\text{if } cfn_{MID}(p, \vartheta) \geq \vartheta(q) \text{ then } p_1 @ p_1 \text{ else } p_1 @ p_2 \text{ end if})\}$  from

abs\_q\_greater,

abs\_q\_less,

abs\_com  $\{x = \vartheta(q), y = \vartheta(p_1 @ c)\}$ ,

abs\_com  $\{x = \vartheta(q), y = cfn_{MID}(p, \vartheta)\}$ ,

abs\_geq  $\{x = x @ p_3 - y @ p_3, y = x @ p_4 - y @ p_4\}$ ,

abs\_geq  $\{x = \vartheta(p_1 @ c) - \vartheta(q), y = cfn_{MID}(p, \vartheta) - \vartheta(q)\}$

abs\_q\_less\_pr: Prove abs\_q\_less  $\{p_1 = p @ p_1\}$  from

good\_less\_NF, ft\_mid\_greater

abs\_q\_greater\_pr: Prove abs\_q\_greater  $\{p_1 = p @ p_1\}$  from

good\_greater\_F1, ft\_mid\_less

mult\_hack: Lemma  $X + X = 2 * X$

mult\_hack\_pr: Prove mult\_hack from  $\star 1 \star 2 \{x = 2, y = X\}$

ft\_mid\_less\_pr: Prove ft\_mid\_less from

$cfn_{MID}$ ,

ft\_select,

div\_ineq

$\{x = (\vartheta_{(F+1)} + \vartheta_{(N-F)}),$

$y = (\vartheta_{(F+1)} + \vartheta_{(F+1)}),$

$z = 2\}$ ,

div\_cancel  $\{x = 2, y = \vartheta_{(F+1)}\}$ ,

mult\_hack  $\{X = \vartheta_{(F+1)}\}$

**ft\_mid\_greater\_pr:** Prove `ft_mid_greater` from  
 $cfn_{MID}$ ,  
`ft_select`,  
`div_ineq`  
 $\{x = (\vartheta_{(N-F)} + \vartheta_{(N-F)}),$   
 $y = (\vartheta_{(F+1)} + \vartheta_{(N-F)}),$   
 $z = 2\},$   
`div_cancel`  $\{x = 2, y = \vartheta_{(N-F)}\},$   
`mult_hack`  $\{X = \vartheta_{(N-F)}\}$

**ft\_mid\_acc\_pres\_pr:** Prove `ft_mid_accuracy_preservation` from  
`ft_mid_bnd_by_good`,  
`okay_Readpred`  
 $\{\gamma = \vartheta,$   
 $Y = X,$   
 $l = p_1 @ p1,$   
 $m = q @ c\}$

**End mid4**

## C Proof Chain Status

### C.1 Translation Invariance

Terse proof chain for proof `ft_mid_trans_inv_pr` in module `mid`

Use of the formula

```
mid.ft_mid
requires the following TCCs to be proven
mid_tcc.ft_mid_TCC1
mid_tcc.ft_mid_TCC2
mid_tcc.ft_mid_TCC3
```

Use of the formula

```
division.div_distrib
requires the following TCCs to be proven
division_tcc.mult_div_1_TCC1
division_tcc.mult_div_TCC1
division_tcc.div_cancel_TCC1
division_tcc.ceil_mult_div_TCC1
division_tcc.div_nonnegative_TCC1
division_tcc.div_ineq_TCC1
division_tcc.div_minus_1_TCC1
```

===== SUMMARY =====

The proof chain is complete

The axioms and assumptions at the base are:

```
clocksort.funsort_trans_inv
division.mult_div_1
division.mult_div_2
division.mult_div_3
ft_mid_assume.ft_mid_maxfaults
Total: 5
```

The definitions and type-constraints are:

```
mid.ft_mid
multiplication.mult
```

Total: 2

The formulae used are:

```
division.div_cancel
division.div_distrib
division_tcc.ceil_mult_div_TCC1
division_tcc.div_cancel_TCC1
division_tcc.div_ineq_TCC1
division_tcc.div_minus_1_TCC1
division_tcc.div_nonnegative_TCC1
division_tcc.mult_div_1_TCC1
division_tcc.mult_div_TCC1
mid.add_assoc_hack
mid_tcc.ft_mid_TCC1
mid_tcc.ft_mid_TCC2
mid_tcc.ft_mid_TCC3
multiplication.distrib
multiplication.mult_lident
multiplication.mult_rident
select_defs.select_trans_inv
```

Total: 17

The completed proofs are:

```
division.div_cancel_pr
division.div_distrib_pr
division_tcc.ceil_mult_div_TCC1_PROOF
division_tcc.div_cancel_TCC1_PROOF
division_tcc.div_ineq_TCC1_PROOF
division_tcc.div_minus_1_TCC1_PROOF
division_tcc.div_nonnegative_TCC1_PROOF
division_tcc.mult_div_1_TCC1_PROOF
division_tcc.mult_div_TCC1_PROOF
mid.add_assoc_hack_pr
mid.ft_mid_trans_inv_pr
mid_tcc.ft_mid_TCC1_PROOF
mid_tcc.ft_mid_TCC3_PROOF
multiplication.distrib_proof
multiplication.mult_lident_proof
multiplication.mult_rident_proof
```

```
select_defs.select_trans_inv_pr
tcc_mid.ft_mid_TCC2_PROOF
Total: 18
```

## C.2 Precision Enhancement

Terse proof chain for proof ft\_mid\_precision\_enhancement\_pr in module mid3

Use of the formula

```
mid3.ft_mid_prec_enh_sym
requires the following TCCs to be proven
mid3_tcc.ft_mid_Pi_TCC1
mid3_tcc.good_geq_F_add1_TCC1
mid3_tcc.okay_pair_geq_F_add1_TCC1
mid3_tcc.okay_pair_geq_F_add1_TCC2
mid3_tcc.good_between_TCC1
mid3_tcc.ft_mid_prec_sym1_TCC1
mid3_tcc.ft_mid_prec_sym1_TCC2
mid3_tcc.ft_mid_prec_sym1_TCC3
mid3_tcc.ft_mid_prec_sym1_TCC4
mid3_tcc.mid_gt_imp_sel_gt_TCC1
mid3_tcc.mid_gt_imp_sel_gt_TCC2
mid3_tcc.ft_mid_prec_sym1_pr_TCC1
mid3_tcc.ft_mid_prec_sym1_pr_TCC2
```

Use of the formula

```
mid.ft_mid
requires the following TCCs to be proven
mid_tcc.ft_mid_TCC1
mid_tcc.ft_mid_TCC2
mid_tcc.ft_mid_TCC3
```

Use of the formula

```
division.div_minus_distrib
requires the following TCCs to be proven
division_tcc.mult_div_1_TCC1
division_tcc.mult_div_TCC1
```

```
division_tcc.div_cancel_TCC1
division_tcc.ceil_mult_div_TCC1
division_tcc.div_nonnegative_TCC1
division_tcc.div_ineq_TCC1
division_tcc.div_minus_1_TCC1
```

Use of the formula

```
countmod.count_exists
requires the following TCCs to be proven
countmod_tcc.posint_TCC1
countmod_tcc.count_TCC1
countmod_tcc.count_TCC2
countmod_tcc.count_TCC3
countmod_tcc.count_TCC4
countmod_tcc.count_TCC5
```

Formula countmod\_tcc.count\_TCC4 is a termination TCC for countmod.count  
Proof of

```
countmod_tcc.count_TCC4
must not use
countmod.count
```

Formula countmod\_tcc.count\_TCC5 is a termination TCC for countmod.count  
Proof of

```
countmod_tcc.count_TCC5
must not use
countmod.count
```

Use of the formula

```
natinduction.induction
requires the following TCCs to be proven
natinduction_tcc.ind_m_proof_TCC1
```

Use of the formula

```
noetherian[naturalnumber, natinduction.less].general_induction
requires the following assumptions to be discharged
noetherian[naturalnumber, natinduction.less].well_founded
```

Use of the formula

```
noetherian[countmod.nk_type, countmod.nk_less].general_induction
requires the following assumptions to be discharged
noetherian[countmod.nk_type, countmod.nk_less].well_founded
```

Use of the formula

```
mid2.good_less_NF
requires the following TCCs to be proven
mid2_tcc.good_greater_F1_TCC1
mid2_tcc.good_less_NF_TCC1
mid2_tcc.good_greater_F1_pr_TCC1
mid2_tcc.good_less_NF_pr_TCC1
```

===== SUMMARY =====

The proof chain is complete

The axioms and assumptions at the base are:

```
clocksort.cnt_sort_geq
clocksort.cnt_sort_leq
division.mult_div_1
division.mult_div_2
division.mult_div_3
ft_mid_assume.ft_mid_maxfaults
multiplication.mult_non_neg
multiplication.mult_pos
noetherian[EXPR, EXPR].general_induction
```

Total: 9

The definitions and type-constraints are:

```
absmod.abs
clockassumptions.okay_Readpred
clockassumptions.okay_pairs
countmod.count
countmod.countsize
countmod.exists_less
countmod.nk_noeth_pred
countmod.nk_ph_pred
mid.ft_mid
multiplication.mult
```

```
\naturalnumbers.nat_invariant
Total: 11

The formulae used are:
absmod.abs_com
absmod.abs_geq
absmod.abs_plus
countmod.count_exists
countmod.count_exists_base
countmod.count_exists_ind
countmod.nk_ph_expand
countmod.nk_ph_lem
countmod.nk_ph_noeth_hyp
countmod.ph_case0
countmod.ph_case0k
countmod.ph_case0n
countmod.ph_case1
countmod.ph_case2
countmod.ph_case2a
countmod.ph_case2b
countmod.pigeon_hole
countmod_tcc.count_TCC1
countmod_tcc.count_TCC2
countmod_tcc.count_TCC3
countmod_tcc.count_TCC4
countmod_tcc.count_TCC5
countmod_tcc.posint_TCC1
division.abs_div
division.div_cancel
division.div_distrib
division.div_ineq
division.div_minus_1
division.div_minus_distrib
division.div_nonnegative
division.mult_div
division.mult_minus
division_tcc.ceil_mult_div_TCC1
division_tcc.div_cancel_TCC1
division_tcc.div_ineq_TCC1
```

```
division_tcc.div_minus_1_TCC1
division_tcc.div_nonnegative_TCC1
division_tcc.mult_div_1_TCC1
division_tcc.mult_div_TCC1
mid2.good_less_NF
mid2_tcc.good_greater_F1_TCC1
mid2_tcc.good_greater_F1_pr_TCC1
mid2_tcc.good_less_NF_TCC1
mid2_tcc.good_less_NF_pr_TCC1
mid3.exchange_order
mid3.ft_mid_prec_enh_sym
mid3.ft_mid_prec_sym1
mid3.good_between
mid3.good_geq_F_add1
mid3.mid_gt_imp_sel_gt
mid3.okay_pair_geq_F_add1
mid3.okay_pairs_sym
mid3_tcc.ft_mid_Pi_TCC1
mid3_tcc.ft_mid_prec_sym1_TCC1
mid3_tcc.ft_mid_prec_sym1_TCC2
mid3_tcc.ft_mid_prec_sym1_TCC3
mid3_tcc.ft_mid_prec_sym1_TCC4
mid3_tcc.ft_mid_prec_sym1_pr_TCC1
mid3_tcc.ft_mid_prec_sym1_pr_TCC2
mid3_tcc.good_between_TCC1
mid3_tcc.good_geq_F_add1_TCC1
mid3_tcc.mid_gt_imp_sel_gt_TCC1
mid3_tcc.mid_gt_imp_sel_gt_TCC2
mid3_tcc.okay_pair_geq_F_add1_TCC1
mid3_tcc.okay_pair_geq_F_add1_TCC2
mid_tcc.ft_mid_TCC1
mid_tcc.ft_mid_TCC2
mid_tcc.ft_mid_TCC3
multiplication.distrib
multiplication.distrib_minus
multiplication.mult_com
multiplication.mult_gt
multiplication.mult_ldistrib_minus
multiplication.mult_leq
```

```
multiplication.mult_lident
multiplication.mult_rident
multiplication.pos_product
natinduction.induction
natinduction_tcc.ind_m_proof_TCC1
noetherian[countmod.nk_type, countmod.nk_less].well_founded
noetherian[naturalnumber, natinduction.less].well_founded
select_defs.count_geq_select
select_defs.count_leq_select
Total: 83
```

The completed proofs are:

```
absmod.abs_com_proof
absmod.abs_geq_proof
absmod.abs_plus_pr
countmod.count_exists_base_pr
countmod.count_exists_ind_pr
countmod.count_exists_pr
countmod.nk_ph_expand_pr
countmod.nk_ph_lem_pr
countmod.nk_ph_noeth_hyp_pr
countmod.nk_well_founded
countmod.ph_case0_pr
countmod.ph_case0k_pr
countmod.ph_case0n_pr
countmod.ph_case1_pr
countmod.ph_case2_pr
countmod.ph_case2a_pr
countmod.ph_case2b_pr
countmod.pigeon_hole_pr
countmod_tcc.count_TCC1_PROOF
countmod_tcc.count_TCC2_PROOF
countmod_tcc.count_TCC3_PROOF
division.abs_div_pr
division.div_cancel_pr
division.div_distrib_pr
division.div_ineq_pr
division.div_minus_1_pr
division.div_minus_distrib_pr
```

```
division.div_nonnegative_pr
division.mult_div_pr
division.mult_minus_pr
division_tcc.ceil_mult_div_TCC1_PROOF
division_tcc.div_cancel_TCC1_PROOF
division_tcc.div_ineq_TCC1_PROOF
division_tcc.div_minus_1_TCC1_PROOF
division_tcc.div_nonnegative_TCC1_PROOF
division_tcc.mult_div_1_TCC1_PROOF
division_tcc.mult_div_TCC1_PROOF
mid2.good_less_NF_pr
mid2_tcc.good_greater_F1_TCC1_PROOF
mid2_tcc.good_greater_F1_pr_TCC1_PROOF
mid3.exchange_order_pr
mid3.ft_mid_prec_enh_sym_pr
mid3.ft_mid_prec_sym1_pr
mid3.ft_mid_precision_enhancement_pr
mid3.good_between_pr
mid3.good_geq_F_add1_pr
mid3.mid_gt_imp_sel_gt_pr
mid3.okay_pair_geq_F_add1_pr
mid3.okay_pairs_sym_pr
mid3_tcc.ft_mid_Pi_TCC1_PROOF
mid3_tcc.ft_mid_prec_sym1_TCC1_PROOF
mid3_tcc.ft_mid_prec_sym1_TCC3_PROOF
mid3_tcc.ft_mid_prec_sym1_pr_TCC1_PROOF
mid3_tcc.good_geq_F_add1_TCC1_PROOF
mid3_tcc.mid_gt_imp_sel_gt_TCC1_PROOF
mid3_tcc.okay_pair_geq_F_add1_TCC1_PROOF
mid3_tcc.okay_pair_geq_F_add1_TCC2_PROOF
mid_tcc.ft_mid_TCC1_PROOF
mid_tcc.ft_mid_TCC3_PROOF
mid_top.countmod_TCC4_pr
mid_top.countmod_TCC5_pr
mid_top.posint_TCC1_PROOF
multiplication.distrib_minus_pr
multiplication.distrib_proof
multiplication.mult_com_pr
multiplication.mult_gt_pr
```

```
multiplication.mult_ldistrib_minus_proof
multiplication.mult_leq_pr
multiplication.mult_lident_proof
multiplication.mult_rident_proof
multiplication.pos_product_pr
natinduction.discharge
natinduction.ind_proof
natinduction_tcc.ind_m_proof_TCC1_PROOF
select_defs.count_geq_select_pr
select_defs.count_leq_select_pr
tcc_mid.ft_mid_TCC2_PROOF
tcc_mid.ft_mid_prec_sym1_TCC2_PROOF
tcc_mid.ft_mid_prec_sym1_TCC4_PROOF
tcc_mid.ft_mid_prec_sym1_pr_TCC2_PROOF
tcc_mid.good_between_TCC1_PROOF
tcc_mid.good_less_NF_TCC1_PROOF
tcc_mid.good_less_NF_pr_TCC1_PROOF
tcc_mid.mid_gt_imp_sel_gt_TCC2_PROOF
```

Total: 84

### C.3 Accuracy Preservation

Terse proof chain for proof `ft_mid_acc_pres_pr` in module `mid4`

Use of the formula

```
mid4.ft_mid_bnd_by_good
requires the following TCCs to be proven
  mid4_tcc.ft_mid_less_TCC1
  mid4_tcc.ft_mid_greater_TCC1
```

Use of the formula

```
mid2.good_greater_F1
requires the following TCCs to be proven
  mid2_tcc.good_greater_F1_TCC1
  mid2_tcc.good_less_NF_TCC1
  mid2_tcc.good_greater_F1_pr_TCC1
  mid2_tcc.good_less_NF_pr_TCC1
```

```

Use of the formula
  countmod.count_exists
requires the following TCCs to be proven
  countmod_tcc.posint_TCC1
  countmod_tcc.count_TCC1
  countmod_tcc.count_TCC2
  countmod_tcc.count_TCC3
  countmod_tcc.count_TCC4
  countmod_tcc.count_TCC5

Formula countmod_tcc.count_TCC4 is a termination TCC for countmod.count
Proof of
  countmod_tcc.count_TCC4
must not use
  countmod.count

Formula countmod_tcc.count_TCC5 is a termination TCC for countmod.count
Proof of
  countmod_tcc.count_TCC5
must not use
  countmod.count

Use of the formula
  natinduction.induction
requires the following TCCs to be proven
  natinduction_tcc.ind_m_proof_TCC1

Use of the formula
  noetherian[naturalnumber, natinduction.less].general_induction
requires the following assumptions to be discharged
  noetherian[naturalnumber, natinduction.less].well_founded

Use of the formula
  noetherian[countmod.nk_type, countmod.nk_less].general_induction
requires the following assumptions to be discharged
  noetherian[countmod.nk_type, countmod.nk_less].well_founded

Use of the formula

```

```
mid.ft_mid
requires the following TCCs to be proven
mid_tcc.ft_mid_TCC1
mid_tcc.ft_mid_TCC2
mid_tcc.ft_mid_TCC3
```

```
Use of the formula
division.div_ineq
requires the following TCCs to be proven
division_tcc.mult_div_1_TCC1
division_tcc.mult_div_TCC1
division_tcc.div_cancel_TCC1
division_tcc.ceil_mult_div_TCC1
division_tcc.div_nonnegative_TCC1
division_tcc.div_ineq_TCC1
division_tcc.div_minus_1_TCC1
```

```
===== SUMMARY =====
```

The proof chain is complete

The axioms and assumptions at the base are:

```
clocksort.cnt_sort_geq
clocksort.cnt_sort_leq
clocksort.funsort_ax
division.mult_div_1
division.mult_div_2
division.mult_div_3
ft_mid_assume.ft_mid_maxfaults
multiplication.mult_pos
noetherian[EXPR, EXPR].general_induction
```

Total: 9

The definitions and type-constraints are:

```
absmod.abs
clockassumptions.okay_Readpred
countmod.count
countmod.countsize
countmod.exists_less
```

```
countmod.nk_noeth_pred
countmod.nk_ph_pred
mid.ft_mid
multiplication.mult
naturalnumbers.natInvariant
Total: 10
```

The formulae used are:

```
absmod.abs_com
absmod.abs_geq
countmod.count_exists
countmod.count_exists_base
countmod.count_exists_ind
countmod.nk_ph_expand
countmod.nk_ph_lem
countmod.nk_ph_noeth_hyp
countmod.ph_case0
countmod.ph_case0k
countmod.ph_case0n
countmod.ph_case1
countmod.ph_case2
countmod.ph_case2a
countmod.ph_case2b
countmod.pigeon_hole
countmod_tcc.count_TCC1
countmod_tcc.count_TCC2
countmod_tcc.count_TCC3
countmod_tcc.count_TCC4
countmod_tcc.count_TCC5
countmod_tcc.posint_TCC1
division.div_cancel
division.div_ineq
division.mult_div
division_tcc.ceil_mult_div_TCC1
division_tcc.div_cancel_TCC1
division_tcc.div_ineq_TCC1
division_tcc.div_minus_1_TCC1
division_tcc.div_nonnegative_TCC1
division_tcc.mult_div_1_TCC1
```

```
division_tcc.mult_div_TCC1
mid2.good_greater_F1
mid2.good_less_NF
mid2_tcc.good_greater_F1_TCC1
mid2_tcc.good_greater_F1_pr_TCC1
mid2_tcc.good_less_NF_TCC1
mid2_tcc.good_less_NF_pr_TCC1
mid4.abs_q_greater
mid4.abs_q_less
mid4.ft_mid_bnd_by_good
mid4.ft_mid_greater
mid4.ft_mid_less
mid4.ft_select
mid4.maxfaults_lem
mid4.mult_hack
mid4_tcc.ft_mid_greater_TCC1
mid4_tcc.ft_mid_less_TCC1
mid_tcc.ft_mid_TCC1
mid_tcc.ft_mid_TCC2
mid_tcc.ft_mid_TCC3
multiplication.distrib_minus
multiplication.mult_com
multiplication.mult_gt
multiplication.mult_ldistrib_minus
multiplication.mult_lident
multiplication.mult_rident
natinduction.induction
natinduction_tcc.ind_m_proof_TCC1
noetherian[countmod.nk_type, countmod.nk_less].well_founded
noetherian[naturalnumber, natinduction.less].well_founded
select_defs.count_geq_select
select_defs.count_leq_select
select_defs.select_ax
Total: 64
```

The completed proofs are:

```
absmod.abs_com_proof
absmod.abs_geq_proof
countmod.count_exists_base_pr
```

```
countmod.count_exists_ind_pr
countmod.count_exists_pr
countmod.nk_ph_expand_pr
countmod.nk_ph_lem_pr
countmod.nk_ph_noeth_hyp_pr
countmod.nk_well_founded
countmod.ph_case0_pr
countmod.ph_case0k_pr
countmod.ph_case0n_pr
countmod.ph_case1_pr
countmod.ph_case2_pr
countmod.ph_case2a_pr
countmod.ph_case2b_pr
countmod.pigeon_hole_pr
countmod_tcc.count_TCC1_PROOF
countmod_tcc.count_TCC2_PROOF
countmod_tcc.count_TCC3_PROOF
division.div_cancel_pr
division.div_ineq_pr
division.mult_div_pr
division_tcc.ceil_mult_div_TCC1_PROOF
division_tcc.div_cancel_TCC1_PROOF
division_tcc.div_ineq_TCC1_PROOF
division_tcc.div_minus_1_TCC1_PROOF
division_tcc.div_nonnegative_TCC1_PROOF
division_tcc.mult_div_1_TCC1_PROOF
division_tcc.mult_div_TCC1_PROOF
mid2.good_greater_F1_pr
mid2.good_less_NF_pr
mid2_tcc.good_greater_F1_TCC1_PROOF
mid2_tcc.good_greater_F1_pr_TCC1_PROOF
mid4.abs_q_greater_pr
mid4.abs_q_less_pr
mid4.ft_mid_acc_pres_pr
mid4.ft_mid_bnd_by_good_pr
mid4.ft_mid_greater_pr
mid4.ft_mid_less_pr
mid4.ft_select_pr
mid4.maxfaults_lem_pr
```

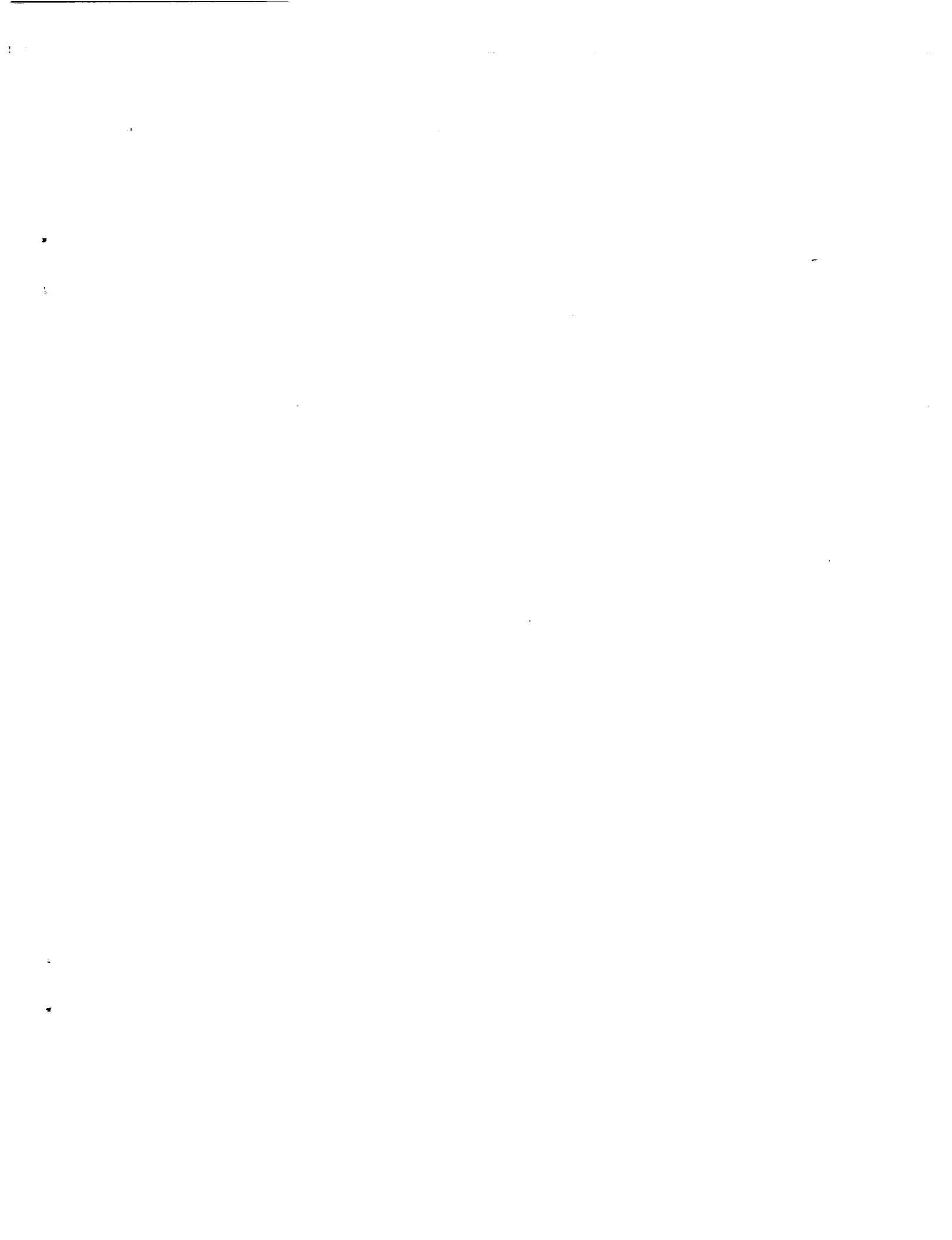
```
mid4.mult_hack_pr
mid4_tcc.ft_mid_less_TCC1_PROOF
mid_tcc.ft_mid_TCC1_PROOF
mid_tcc.ft_mid_TCC3_PROOF
mid_top.countmod_TCC4_pr
mid_top.countmod_TCC5_pr
mid_top.posint_TCC1_PROOF
multiplication.distrib_minus_pr
multiplication.mult_com_pr
multiplication.mult_gt_pr
multiplication.mult_ldistrib_minus_proof
multiplication.mult_lident_proof
multiplication.mult_rident_proof
natinduction.discharge
natinduction.ind_proof
natinduction_tcc.ind_m_proof_TCC1_PROOF
select_defs.count_geq_select_pr
select_defs.count_leq_select_pr
select_defs.select_ax_pr
tcc_mid.ft_mid_TCC2_PROOF
tcc_mid.ft_mid_greater_TCC1_PROOF
tcc_mid.good_less_NF_TCC1_PROOF
tcc_mid.good_less_NF_pr_TCC1_PROOF
```

Total: 65

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# REPORT DOCUMENTATION PAGE

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13. ABSTRACT (Maximum 200 words) Schneider [1] demonstrates that many fault-tolerant clock synchronization algorithms can be represented as refinements of a single proven correct paradigm. Shankar [2] provides a mechanical proof (using Ehdml [3]) that Schneider's schema achieves Byzantine fault-tolerant clock synchronization provided that 11 constraints are satisfied. Some of the constraints are assumptions about physical properties of the system and cannot be established formally. Proofs are given (in Ehdml) that the fault-tolerant midpoint convergence function satisfies three of these constraints. This paper presents a hardware design, implementing the fault-tolerant midpoint function, which will be shown to satisfy the remaining constraints. The synchronization circuit will recover completely from transient faults provided the maximum fault assumption is not violated. The initialization protocol for the circuit also provides a recovery mechanism from total system failure caused by correlated transient faults.			
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